(19) World Intellectual Property Organization International Bureau





(43) International Publication Date 20 June 2002 (20.06.2002)

PCT

(10) International Publication Number WO 02/48966 A1

(51) International Patent Classification7:

G06T 15/00

- (21) International Application Number: PCT/US01/48933
- (22) International Filing Date:

14 December 2001 (14.12.2001)

(25) Filing Language:

English

(26) Publication Language:

English

(30) Priority Data: 60/256,318

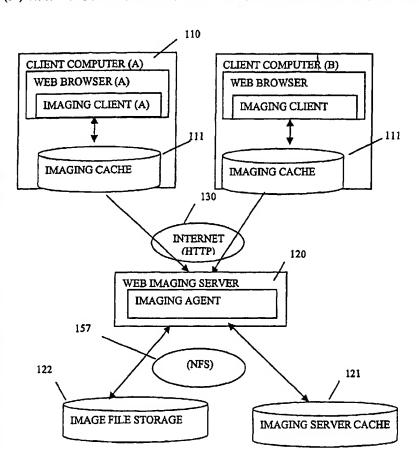
14 December 2000 (14.12.2000) U

- (71) Applicant: RTIMAGE INC. [US/US]; 1111 Bayhill Drive, Suite 290, San Bruno, CA 94066 (US).
- (72) Inventors: DEKEL, Shai; Dekel St. 3, Or-Yehuda (IL). GOLDBERG, Nitzan; Nachal Micha 14, Beit-Shemesh (IL). SHERMAN, Alexander; Sderot Ha-Dekel 3207/11, Beit-Shemesh (IL).

- (74) Agents: MAXWELL, Lawrence, D. et al.; Needle & Rosenberg, P.C., The Candler Building, Suite 1200, 127 Peachtree Street, N.E., Atlanta, GA 30303-1811 (US).
- (81) Designated States (national): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, OM, PH, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, UZ, VN, YU, ZA, ZM, ZW.
- (84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

[Continued on next page]

(54) Title: THREE-DIMENSIONAL IMAGE STREAMING SYSTEM AND METHOD FOR MEDICAL IMAGES



(57) Abstract: In a system for transmitting a three-dimensional (3-D) digital image over a communication network, in response to a client computer (110) generating a request for interaction with a 3-D image stored on an image storage device (122), a server computer (120) performs two-dimensional sub-band transform decompositions in x-axis and y-axis directions upon the 3-D image, performs a one-dimensional sub-band transform decomposition in a z-axis direction upon a portion of the two-dimensionally transform-decomposed digital image, and progressively transmits to the client computer data representing a region of interest specified in the client request.

WO 02/48966 A1

WO 02/48966 A1



Published:

- with international search report
- before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

PCT/US01/48933

THREE-DIMENSIONAL IMAGE STREAMING SYSTEM AND METHOD FOR MEDICAL IMAGES

CROSS-REFERENCED TO RELATED APPLICATION

5

The benefit of U.S. provisional patent application Serial No. 60/256,318, filed December 14, 2000, entitled "Three-Dimensional Image Streaming System and Method," is hereby claimed under 35 U.S.C. § 119, and the specification thereof is incorporated herein in its entirety by this reference.

10

15

20

BACKGROUND OF THE INVENTION

1. Field of the Invention

The invention relates generally to the field of medical imaging and, more specifically, to transmission of medical imagery via a relatively narrow bandwidth client-server computer network such as the World Wide Web.

2. Description of the Related Art

The most common medical imaging techniques produce three-dimensional (3-D) data sets. Medical imaging techniques, such as computed tomography (CT), magnetic resonance (MR), positron emission tomography (PET), and single-photon emission computed tomography (SPECT), generate multiple slices in a single examination. Each such slice represents a different cross-section of the body part that is imaged. A simple transfer to the client computer of the result of the examination stored in the server's storage is obviously time consuming in a narrow bandwidth environment. This problem can be overcome by storing images in some compressed formats.

Data compression techniques can be roughly divided into two classes: lossy and lossless. Lossless compression techniques allow exact reconstruction of the original

image, while lossy compression techniques achieve higher compression ratios, because they allow some acceptable degradation.

Although lossy compression is acceptable in many cases, lossless transmission is required in medical applications because lossy compression of images can lead to errors in diagnosis by introducing artifacts. Furthermore, there exist several legal and regulatory issues that favor lossless compression in medical applications. Although precise diagnosis require lossless representation of the study, lossy compression can be extremely useful for medical applications in which quick image browsing is desired. Therefore, the combination of lossy and lossless representations in a single workflow is desirable, with progressive lossy streaming of 3-D data terminating at lossless quality.

5

10

15

20

30

Because 3-D image data can be represented as a set of two-dimensional (2-D) images, it is possible to code these 2-D images independently. There exist several 2-D lossless compression algorithms, such as the LOw COmplexity LOssless COmpression of Images (LOCO-I) on which a lossless image compression standard called JPEG-LS is base, the Context-based Adaptive Lossless Image Codec (CALIC) algorithm and Compression with Reversible Embedded Wavelets (CREW). However, such 2-D methods do not utilize the inter-slice dependencies that exist among pixel values in the third dimension. A better approach considers the whole set of slices as a single 3-D data set taking advantage of correlation in all three dimensions.

Several methods that utilize dependencies in all three dimensions have been proposed for compression of 3-D data, both lossy and lossless, such as the Improved 3-D EZW (3D-IEZW); Modified EZW algorithm, used for compression of volumetric medical images; Context-based coding improvement of the EZW algorithm (3-D CB-EZW); a 3-D extension of Set Partitioning in Hierarchical Trees and Scalable Compressed Video coding algorithms. However, there is a common disadvantage of all the existing methods: they all need to store a compressed version of the original data, because the compression is very time consuming. These methods additionally lead to a tremendous growth of system memory usage. Wavelet compression is well known in

PCT/US01/48933

the art. Conventional compression systems preferred wavelet bases of best coding performance.

A system and method for transmitting a digital image over a communication network is disclosed in U.S. Patent Application Number 6,314,452, "System and Method for Transmitting a Digital Image Over a Communication Network", incorporated herein in its entirety by this reference That system and method does not address problems involved with lossless compression, and it does not specifically address 3-D images.

10

5

A system and method for a lossless wavelet transform in 2-D, having only the X and Y directions, is disclosed in U.S. Patent Application Serial No. 60/198,017 "Lossless Progressive Streaming of Images Over the Internet," incorporated herein in its entirety by this reference. That system and method does not address 3-D images.

15

20

SUMMARY OF THE INVENTION

The present invention relates to a 3-D image data streaming system that obviates storing a compressed version of the original data by streaming region-of-interest (ROI) data using the original stored 3-D data. The system also avoids the computationally intensive task of compression of the full data. The term "pixels-on-demand" may be used in this patent specification to describe the process of serving the requested ROI and progressively transmitting the ROI data in real-time, where the quality of the view improves towards lossless quality as the transfer process progresses.

25

The system allows lossless progressive streaming of 3-D images over the Internet of speed and quality unknown in the prior art. Once a user indicates intention to view an image, a server performs a fast preprocessing step, after which the server quickly responds to requests for viewing specific regions of interest. Each request for an ROI triggers an encoding algorithm, but such that does not involve the full 3-D image. Since the size of the ROI is limited by the size and resolution of the viewing device and by number of frames that can be simultaneously viewed at the client and not

4

by the size of the full 3-D image, only a portion progressive coding computation is performed for a local area of the original 3-D data. The same is true for the client computer that performs decoding and rendering only for an ROI and not the full 3-D image. The imaging system described herein preferably uses wavelet bases of best performance in streaming ROI data.

In one aspect of the present invention there is disclosed a system and method for transmitting a three-dimensional digital image over a communication network. A 3-D digital image is stored on an image storage device accessible via the network. A client computer coupled to the network can generate a request for interaction with the 3-D image stored on the image storage device, the request identifying a region of interest within the image.

The system includes a server computer coupled to the communication network and the image storage device, wherein the server computer performs the steps of: i) performing 2-D sub-band transform decompositions in x-axis and y-axis directions upon the three-dimensional digital image; ii) performing a 1-D sub-band transform decomposition in a z-axis direction upon a portion of the two-dimensionally transform-decomposed digital image; and iii) progressively transmitting to the client computer data representing the identified region of interest within the 3-D image.

In one or more embodiments of the present invention, the system can transmit to the client computer data representing thumbnail-resolution images resulting from the 2-D sub-band transform decompositions. Furthermore, the system can, in one or more embodiments of the invention, perform a one-dimensional sub-band transform decomposition in a z-axis direction comprising the steps of: a) computing transform coefficients using integer arithmetic and not floating-point arithmetic; and b) correcting the computed transform coefficients by performing integer arithmetic operations with one or more predetermined correction factors.

5

10

15

20

25

The steps of performing sub-band transform decompositions can comprise computing transform coefficients for each of a predetermined plurality of resolutions. Furthermore, the transform coefficients for an Nth resolution of the plurality can be computed in response to a one transform formula if N is odd and another transform formula if N is even. For example, the server computer can scale the transform coefficients by alternating factors of $\sqrt{2}$ and 1 along the z-axis, i.e., the resolution axis.

In yet another aspect of the present invention there is disclosed a system and method for transmitting a 3-D digital image over a communication network in which the request that a client computer generates for interaction with the 3-D image stored on the image storage device specifies a quality threshold and includes a request list specifying data blocks that define a region of interest within the 3-D image. The system includes a server computer coupled to the communication network and the image storage device, wherein the server computer, in response to the request list, transmits to the client computer a number of data blocks corresponding to the specified quality threshold.

10

15

20

25

In one or more embodiments of the present invention, the server computer can map the luminance of the three-dimensional image stored on the image storage device from a predetermined image bit depth to a predetermined screen bit depth in accordance with a monotonic luminance mapping function. The server computer can do so by, for example, computing a root mean square (RMS) increasing factor defined by a maximal derivative of the luminance mapping function. The server computer can provide more data blocks (i.e., more than some predetermined normal number) if the RMS increasing factor is greater than one and a fewer data blocks if the RMS increasing factor is less than one.

Furthermore, the request generated by the client computer can specify a resolution. In response, the server computer provides a number of data blocks

corresponding to not only the specified quality threshold but also the specified resolution.

6

BRIEF DESCRIPTION OF THE DRAWINGS

- Figure 1 is a block diagram of an embodiment of the system architecture.
 - Figure 2 illustrates an embodiment of the imaging system workflow.
 - Figure 3 illustrates subband decomposition and tiling of subband coefficients at given resolution.
 - Figure 4 illustrates subband decomposition types.

10

15

25

- Figure 5 illustrates a multi-resolution structure of transformed image data.
- Figure 6 illustrates multi-resolution decomposition of image data.
- Figure 7 illustrates scaling factors of 3-D wavelet coefficients for different subbands.
- Figure 8 illustrates tile dimensions and the addition of half-bit information planes for lossless subband decomposition types.
 - Figure 9 is a flowchart illustrating a server encoding algorithm outer loop.
 - Figure 10A illustrates a server encoding algorithm bit plane significance scan flowchart.
- Figure 10B illustrates a server encoding algorithm, bit plane significance scan flowchart.
 - Figure 11 illustrates a server encoding algorithm bit plane significance scan pseudo-code.
 - Figure 12A illustrates a least significant bit plane scan pseudo-code encoding algorithm.
 - Figure 12B illustrates a half bit plane scan pseudo-code encoding algorithm.
 - Figure 13 illustrates a least significant bit plane scan encoding algorithm flowchart.
 - Figure 14 is a flowchart illustrating a client decoding algorithm outer loop.
 - Figure 15 illustrates a client decoding algorithm bit plane scan pseudo-code.
- Figure 16A illustrates a least significant bit plane scan pseudo-code decoding algorithm.

Figure 16B is a half bit plane scan pseudo-code decoding algorithm.

Figure 17 is a flowchart illustrating client "progressive by accuracy" workflow.

Figure 18 illustrates a curve defining the mapping from Original_Image_Depthbit image to Screen Depth-bit.

7

Figure 19 is a flowchart illustrating the composition of a "Progressive by accuracy" request list for a ROI according to an embodiment of the invention.

5

10

15

20

25

Figure 20 is pseudo-code for multi-resolution march in the client rendering function.

Figure 21 is a flowchart illustrating the subband tile extraction function according to an embodiment of the present invention.

Figure 22 is a flowchart illustrating the server workflow of the present invention.

Figure 23 is a flowchart illustrating a server preprocessing function of the present invention.

Figure 24 is pseudo-code for the memory constrained subband tile preprocessing function of the present invention.

Figure 25 is a flowchart illustrating the low resolution encoding function of the present invention.

Figure 26 is a diagram illustrating an imaging protocol of communication between client and server.

Figure 27 is a flowchart illustrating the encoding algorithm principal steps of the present invention.

Figure 28A is a flowchart illustrating the bit plane significance scan client decoding algorithm.

Figure 28B is a flowchart illustrating the bit plane significance scan client decoding algorithm.

Figure 29 is a flowchart illustrating a method for transmitting a 3D digital image in accordance with one aspect of the present invention.

Figure 30 is a flowchart illustrating a method for transmitting a 3D digital image in accordance with another aspect of the present invention.

DETAILED DESCRIPTION

1. Notation and Terminology

5 The following notation is used throughout this document.

Term	Definition
2D	Two dimensional
3D	Three dimensional
5D	Five dimensional
FIR .	Finite Impulse Response
FWT	Forward Wavelet Transform
GUI	Graphical User Interface
ID	Identification tag
TWT	Inverse Wavelet Transform
ROI	Region Of Interest
URL	Uniform Resource Locator
LSB	Least Significant Bit
FP	Floating Point
EZW	Embedded coding of Zerotrees of Wavelet coefficients

The following terminology and definitions apply throughout this document.

Term	Definition
Rendering	Procedure to output/display a ROI of an image into a device such as a monitor, printer, etc.
Multiresolution	A pyramidal structure representing an image at dyadic resolutions, beginning with the original image as the highest resolution.
Subband Transform / subband coefficients	A method of decomposing an image (time domain) to frequency components (frequency domain). A representation of an image as a sum of differences between the dyadic resolutions of the image's multi-resolution.
Wavelet Transform / Wavelet coefficients	A special case of Subband Transform.
Quantization	A process that, given a number removes some precision from it, such that it will be possible to code it in lower precision using less bits of memory
Threshold	A process that, using a given positive constant, sets to zero any number whose absolute value is below the constant.
Progressive Transmission	Transmitting a given image in successive steps, where each step adds more detail to the rendering of the image
Progressive Rendering	A sequence of rendering operations, each adding more detail.

10

20

Progressive by accuracy	Transmit/render strong features first (sharp edges), less significant features (texture) last
Progressive by resolution	Transmit/render low resolution first, high resolution last
Distributed database	A database architecture that can be used in a network environment
Subband/Wavelet tile	A group of subband/wavelet coefficients corresponding to a time- frequency localization at some given spatial location and resolution/frequency
Subband/Wavelet data block	An encoded portion of a subband/wavelet tile that corresponds to some accuracy layer

2. Description of Embodiments

Referring to Fig. 1, a block diagram is provided depicting the various components of the imaging system in one embodiment of the invention. A client computer 110 is coupled to a server computer 120 through a communication network 130.

In one embodiment, both client computer 110 and server computer 120 may comprise a PC-type computer operating with a Pentium®-class microprocessor, or equivalent. Each of the computers 110 and 120 may include a cache, 111 and 121 respectively, as part of its memory. The server computer 120 may include a suitable storage device 122, such as a high-capacity disk, CD-ROM, DVD, or the like. Server computer 120 is programmed with software that effects the processes described below. In view of the description below, persons skilled in the art to which the invention relates will be capable of writing or otherwise providing software suitable for such programming. In preparation for operation, the software can reside in whole or part on a hard disk or similar data medium (not shown) within server computer 120 and can be downloaded onto the disk or otherwise retrieved in whole or part from a remote source (not shown) via a communication network 130. Note that the present invention can be embodied not only as a system of computers but also as computer-implemented methods and as computer program products that carry the software via suitable computer data media.

The client computer 110 and server computer 120 may be connected to each other, and to other computers, through communication network 130, which may be the Internet, an intranet (e.g., a local area network), a wide-area network, or the like. Those having ordinary skill in the art will recognize that any of a variety of communication networks may be used to implement the present invention. Any suitable web browser-type application running on the client computer 110 can be used to connect to the server computer 120. The browser software can likewise be stored for execution on a hard disk or similar medium (not shown) within client computer 110 in the conventional manner.

The system workflow is first described with reference to Fig. 2. A client computer 110 (Fig. 1) begins by requesting to interact with a specific 3-D image at step 201. In response, the server computer 120 preprocesses the image at step 202, and notifies the client computer 110 that it is ready to serve the image. The client computer 110 continues by requesting a specific ROI at step 203. In response, the server computer 120 encodes this ROI and sends its encoded data at step 204. The client computer 110, in turn, performs progressive rendering of the received data at step 205, and may iterate back for another ROI at step 203.

20

25

10

15

The system workflow is now described with reference to Fig. 1. The user operating a client computer 110 selects, using common browser tools, a 3-D image residing on the image file storage 122. The corresponding URL request is received and processed by the server computer 120. In the case that results of previous computations on the 3-D image are not present in the imaging cache 121, the server computer 120 performs a fast preprocessing algorithm (described herein below as step 2201 with reference to Fig. 22). The result of this computation is inserted into the cache 121. Unlike previous applications or methods, which perform full progressive encoding of the image offline, the goal of the preprocessing step is to allow the server computer 120, after a relatively fast computational step, to serve any ROI specified by the user of the client computer 110. For example, server software processing (step 202) of a

10

15

20

25

30

PCT/US01/48933

sequence of 100 slices 512 by 512 pixels, 16-bit Grayscale each, will typically take 1-2 seconds, running on a computer with a Pentium® processor and the Windows NT® operating system.

Once the preprocessing stage is done, the server computer 120 notifies the client computer 110 that the image is ready to be served. The server computer 120 also transmits the basic parameters associated with the image such as dimensions, number or resolutions, etc. Upon receiving this notification, the client computer 110 can select any ROI of the 3-D image, as indicated by the user through some GUI. The ROI is formulated (step 203) into a request list that is sent to the server computer 120. Each such request corresponds to a data block (as described herein below with reference to Figs. 3 and 8).

The order of requests in the list corresponds to some progressive mode selected in the context of the application. For example, the order can be of increasing accuracy. Upon receiving the ROI request list, the server computer 120 processes the requests according to their order. For each such request the server computer 120 checks if the corresponding data block exists in its cache 121. If not, the server computer 120 then computes the data block, stores it in the cache 121 and immediately sends it to the client computer 110. Once a data block that was requested arrives at the client computer 110, it is inserted into its cache 111. At various moments in time during the transfer process, a decision rule invokes a rendering of the ROI. If some of the data blocks required for a high quality rendering of the ROI, were requested from the server computer 120 but have not arrived yet, the rendering of the ROI will be of lower quality. But, due to the progressive request order, the rendering quality will improve with each received data block as specified. The user can order a change of the ROI, in which case the rendering task at the client computer 110 is canceled. A new request list corresponding to a new ROI is then sent from the client computer 110 to the server computer 120 notifying the server to terminate the previous computation and transmission task and to begin the new one.

WO 02/48966

5

10

15

20

25

The imaging protocol serves as a common language between the server and its clients. It is described with reference to Fig. 26. The imaging protocol 3050 is mainly used by the client computer 3010 to efficiently stream to the server an ordered request 3020 list of data blocks required for progressive rendering of a given ROI, and by the server computer 3030 to efficiently compose and send to the client computer 3010 a stream of (requested) data blocks 3040.

12

PCT/US01/48933

Both client computer 3010 and server computer 3020 use the same distributed database associated with the protocol, such that they are using the same tagging system for the various data blocks. Using this approach it is also possible to cache the data blocks at both ends. The server computer 3020 is able to cache any computed data block, such that this data block can be sent to any other client that requests it. The client computer 3010 is able to cache any data block received, such that for any subsequent ROI rendering operation requiring the data block, it will be extracted from the client cache and not ordered from the server computer 3020. The protocol is designed such that the imaging system will have efficient ROI control and rendering in any mode such as: progressive by accuracy, progressive by resolution and progressive by spatial order.

The generalized method by which the present invention operates to transmit data blocks corresponding to the ROI in response to client requests is illustrated in Fig. 29. At step 2910, the client computer 110 (Fig. 1) generates a request for interaction with the 3-D digital image stored on the image storage device 122. As noted above, the request identifies an ROI within the image.

As a result of an initial request, a server computer process is initiated at step 2920. Note that a 3-D image can be conceptually described as a sequence along the zaxis direction of x-y frames, analogous to the manner in which a movie is described by a sequence of frames. The image is decomposed in the x-y plane and along the z-axis direction. The server computer 120 performs 2-D sub-band transform decompositions in x-axis and y-axis directions upon the 3-D image at step 2930. As described below in further detail, in two dimensions each frame is decomposed into four parts:

WO 02/48966

PCT/US01/48933

{HH,HL,LH,LL}. This is repeated with each resolution step or level, where the LL part of resolution level N is the source image for resolution level N+1. Decomposition along the z-axis direction averages pairs of frames together, so with each resolution level the number of frames is reduced by half. For example, if there is a sequence of frames {1,2,3,4,5,6...}, at the first resolution level there will be {1+2,3+4,5+6, ...}, where "1+2" represents the averaging of frames 1 and 2 together.

At step 2940, as described below in further detail, the server computer 120 acquires thumbnail-resolution images that were created as a result of the 2-D sub-band transform decompositions. As known in the art, thumbnail-resolution images or, simply, "thumbnails," are frames presented at a resolution less than or equal to that of the original image and at a quality less than or equal to that of the original image. In the present invention, thumbnails are presented as a sequence of the same number as in the original image {1,2,3,4,5,6}, so each thumbnail corresponds to a frame. Thumbnail resolution images are a by-product of the LL part. After decomposing the original image a few resolution levels, the LL part at that level is used as the thumbnail. Note that in embodiments of the invention in which thumbnails are not acquired, the process can proceed directly to step 2950.

At step 2950, as described below in further detail, 1-D sub-band transform decomposition in a z-axis direction is performed upon a portion, such as {HH,HL,LH}, of the two-dimensionally transform-decomposed digital image. The 1-D z-axis decomposition is not performed upon the LL portion in order to preserve its thumbnail qualities.

. 1

25

30

20

10

At step 2960, the computed transform coefficients are corrected by the performance of integer operations that utilize one or more predetermined correction factors. For reasons described below in further detail, the coefficients are ideally or theoretically to be scaled by a factor or $\sqrt{2}$ at each resolution level. Nevertheless, the floating-point computations required to scale coefficients by $\sqrt{2}$ can impact processing

10

15

20

25

30

speed. Employing integer operations, such as multiplying by integer correction factors, to compensate for the omission of true floating-point operations maintains processing speed while sacrificing image accuracy at only some of the resolution levels.

At step 2970, the server computer 120 transmits data to the client computer thumbnail-resolution images that were the result of the 2-D sub-band transform operations of step 2940. At step 2980, the server computer 120 progressively transmits data representing the identified ROI to the client computer 110.

Figure 30 illustrates the method of operation associated with a further aspect of the invention, in which the client request can include not only a request list specifying data blocks that define the ROI but can also include a specified quality threshold. Thus, step 3110 indicates the client computer 110 (Fig. 1) transmitting such a request that includes the request list and a quality threshold. Additionally, the client request can include a specified resolution. At step 3120 the server computer 120 (Fig. 1) can map the luminance of the 3-D image stored on the image storage device from a predetermined image bit depth to a predetermined screen bit depth in accordance with a monotonic luminance mapping function. At step 3130, the server computer 120 computes a root mean square (RMS) increasing factor defined by a maximal derivative of the luminance mapping function. A determination as to whether the RMS increasing factor is greater than one is made at step 3140. Accordingly, the server computer 120 provides more data blocks, i.e., more than some predetermined normal number, if the RMS increasing factor is greater than one at step 3150 and fewer data blocks, i.e., less than the predetermined number, if the RMS increasing factor is less than one at step 3160. If the RMS increasing factor is equal to one, the server computer 120 provides a number of data blocks that is responsive to the specified quality threshold at step 3170.

The basic components of the protocol are accuracy layers associated with tiles of subband/wavelet coefficients. Let I(x, y, z) be a given single component 3-D image such as a grayscale image. It is explained herein below, in reference to Eq.1, how the

10

15

20

25

30

protocol is generalized to arbitrary color spaces. Let FWT(I) (Forward Wavelet Transform) be a subband/wavelet transform of the image. A basic introduction to the FWT is not provided in this patent specification because the FWT is in and of itself well known in the art. Transforms used herein are required to be separable. A 3-D separable transform can be computed by three 1D steps: subsequently in the X, Y and Zdirections.

Reference is now made to Fig. 3. The wavelet transform typically creates for each given resolution j eight subbands denoted as lllj, llhj, lhlj, lhhj, hllj, hlhj, hhhj, hhhj, while only the subband *lll_i* is passed to the next resolution level processing. Thus, in the typical case the coefficients of FWT(I) are associated to the multiresolution structure shown on Fig. 3. Observe that each coefficient belongs to a certain resolution j.

Some special kinds of multi-resolution decomposition are introduced in reference to Fig. 4, which pass not only the single subband Ill, but also a group of subbands to the next resolution level.

If a Finite Impulse Response (FIR) subband filter (compactly supported wavelet) is used, each coefficient "influences" only a compactly supported region of the image. High resolution/frequency coefficients are associated with a small region and low resolution/frequency coefficients are associated with a larger region. The coefficients are subdivided into the tiles as shown in the Fig. 3 for the purpose of efficient rendering. Several subgroups of coefficients are grouped into tiles, associated with the same spatial area, corresponding to the subbands at the resolution j that are not passed to the next resolution. In some embodiments of the invention, the sub-tiles can have, for example, a length and width of 32 and height of 1, so that the tile contains $n \times 32^2 \times 1$ coefficients, where n is the number of sub-bands that are not passed to the next resolution. This relates to the fact that at the time of rendering at the client, the subband tile will provide a mean to render a tile of size 64×64×2. The parameters tileLength and tileHeight control the size of the subband tiles and can be changed

dynamically per 3-D image volume. A 4-D coordinate is associated to each such tile, as described by Eq.1.

$$Tile = (t_x, t_y, t_z, t_resolution)$$
 (Eq. 1)

To generalize the above description for more than one image component, simply associate all the coefficients corresponding to the same spatial location of all the components with the tile of Eq.1. This yields $n \times 32^2 \times 1 \times number Of Components$ coefficients associated with a multidimensional tile.

5

10

15

20

25

30

Discussion now proceeds to explain how the subband tiles are further decomposed to subband data blocks. The motivation for this finer 5-D decomposition is the following. Each subband tile at the resolution j participates in the rendering of local regions in all the resolutions $\geq j$. Assume j corresponds to a very low resolution. It turns out that for a high quality rendering of a given ROI at the resolution j only the coefficients with high absolute value are required. Transmitting small coefficients will not have any significant visual effect at the time of rendering and thus should be avoided. Also transmitted coefficients need not be sent at a high precision, since this also will have visual significant to the rendering operation. Rather, for each such significant coefficient only a few bits of precision should be transmitted along with the coefficient's sign. Rendering the same ROI at a higher quality or rendering a higher resolution will require sending more of the tile's coefficients and also more accuracy bits for the coefficients that were already sent. For this reason, each subband tile is further decomposed into "accuracy" data blocks. Each data block is associated with the "bit plane" interval [0,2) of level 0 or the intervals $[2^{l}, 2^{l+1})$ at level $l \ge 1$, so each data block of the subband tile of Eq. 1 will have a 5D coordinate

$$Block = (t, x, t, y, t, z, t, resolution, t, bitPlane)$$
 (Eq. 2)

where $0 \le t_bitPlane \le maxBitPlane (t_resolution)$. The value of $maxBitPlane(t_resolution)$ corresponds to some predetermined constant or selected dynamically according to the largest coefficient at the resolution $t_resolution$ of the

given image. In some embodiments of the invention, maxBitPlane(t resolution) = 8 is suitable. The data block of Eq. 2 contains the following data in encoded format:

- 1. For t bitPlane ≥ 0 , a list of the indices of all subband coefficients whose absolute value is in the range $[2^{t_-bitPlane}, 2^{t_-bitPlane+1})$.
- The sign of all the coefficients of datum 1.
- 3. An additional precision bit for any coefficient that belongs to the current bit plane or any higher bit plane is used in one preferred embodiment.

The following two remarks can be made on the description herein above: 10

First, in case that the subband tile has coefficients whose absolute value $\geq 2^{maxBitPlane(t_resolution)+1}$, where maxBitPlane(t resolution) is not dynamically selected, their bit plane information, which is the most significant, is inserted into the "highest" possible data block (t_x,t_y,t_z,t_resolution, maxBitPlane(t_resolution)). In such a case this most significant data block contains the data of possibly several bit planes.

Second, in the case of lossy image coding, it is preferable to threshold (discard) all coefficients whose absolute value is below some given threshold $\varepsilon > 0$. In such a case each data block of Eq. 2 is associated with the bit plane $\varepsilon 2^{t_-bitPlane}$, $\varepsilon 2^{t_-bitPlane+1}$). Observe that coefficients whose absolute value is smaller than ε are not reported in any data block and therefore never transmitted.

In some embodiments, the invention can provide a quickly generated thumbnail view of all the slices of the study, the 3-D image. This feature is particularly useful in medical imaging. To provide thumbnails, the system can generate a low resolution representation of a 2-D image at every coordinate Z of the 3-D image. A multiresolution decomposition of the original 3-D data is employed for this purpose. Before this decomposition is described, 3 types of subband decomposition need to be defined.

25

5

15

20

Figure 4 describes three types of subband decomposition. Each type merits different handling as follows:

Case (A) is named herein the HHX-Subbands type. In this case an embodiment of the present invention first performs 2-D wavelet transform for each 2-D image section of the 3-D data having Z=Const, and then performs a wavelet transform in the Z direction on the lh, hl and hh sub-bands of these 2-D transform result. All the ll subbands of the 2-D transform result for every Z are passed to the next resolution.

5

10

15

20

25

30

1

Case (B) is named herein the XXH-Subbands type. In this case a wavelet transform in the Z direction only is performed first, and then the low-pass result of the transform is passed to the next resolution for each X and Y.

Case (C) is named herein the *All-Subbands* type of decomposition. In this case a 2-D wavelet transform is first done for each Z, and then the result undergoes the wavelet transform in the Z direction. Only the *lll* subband of this separable 3-D wavelet transform is passed to the next resolution level.

An embodiment of the present invention for medical applications minimizes the response time for ROI request from the client by evaluating the multi-resolution decomposition in accordance with the following description in reference to Fig. 5. The embodiment first employs several steps of *HHX-Sub-bands* type decomposition (steps 5010, 5020, 5030 and 5040) to obtain thumbnail resolution, which is controlled by the predefined parameter thumbnailResolution. Decomposition is employed on slices *Z=Const* of the original 3-D image. The embodiment then further employs *XXH-Sub-bands* type decomposition (steps 5050, 5060 and 5070) to reduce resolution in the *Z* direction alone. The resulting DC block (step 5070) may be further optionally decomposed by the *All-Subbands* type decomposition. Decomposition such as depicted in Fig. 5 allows quick calculation of all the thumbnail views of every slice of the study and also swift ("near real time") response to any ROI chosen by the client computer 110.

The multi-resolution decomposition, mentioned herein above in reference to Figs. 4 and 5, is shown schematically in Fig. 6 for further clarity. The decomposition of original 3-D image 5010 is executed in two stages, the first stage is depicted by dividing line 6010, and the second stage is depicted by dividing line 6015. The first is done along the X and Y directions, and the second along the Z direction. The decomposition of the resulting image 5020 is executed in two stages, the first is depicted by dividing line 6020, and the second is depicted by dividing line 6025. The first is done along the X and Y directions, and the second along the Z direction. The decomposition of the resulting image 5030 continues until a kth step that is executed in two stages, the first is depicted by dividing line 6030, and the second is depicted by dividing line 6035. The first is done along the X and Y directions, and the second along the Z direction. These first k decompositions are of the HHX-Subbands type. The next decompositions are of the XXH-Subbands type. The decomposition of image 5040 is depicted by dividing line 6040. The decomposition of image 5050 is depicted by dividing line 6050. Finally, the decomposition of image 5060 is depicted by dividing line 6060.

Embodiments of the present invention can use a separable wavelet transform. Embodiments for lossy applications can use well-known wavelet transforms while embodiments for lossless applications can use wavelet transforms that are described below.

Several embodiments of 2-D Lossless Transforms can be constructed, each based on one of the transforms known in the art. Two embodiments are described herein, the first based on the well-known Haar transform, and the second is based on the lossless version of well-known Cohen-Daubechies-Feauveau (1,3) transform (C.D.F.). Persons skilled in the art can apply the principles described herein below to other transforms.

25

5

10

15

20

10

15

20

In 2-D Lossless Transforms, any of such well-known lossless transforms can be employed in the X direction. A similar but different transform is employed in the Y direction. It is modified in each subband to get the proper scaling of the coefficients. Proper scaling means that coefficients are normalized in a L_2 -norm as do wavelet coefficients computed in an accurate mathematical transform that is not limited to integer numbers.

This is achieved by assignment of so-called *HalfBit* information to every coefficient belonging to some of the subbands to keep the perfect reconstruction property of the proposed transforms. The calculation of the *HalfBit* information is explained herein below in reference to Eq. 4c and Eq. 6c.

Denoting by x(n) the nth component of one-dimensional discrete signal, by s(n) and d(n) respectively the low and high pass components of transform, and by the symbol $\lfloor \circ \rfloor$ the floor function meaning "greatest integer less than or equal to \circ ", e.g. $\lfloor 0.5 \rfloor = 0$, $\lfloor -1.5 \rfloor = -1$, $\lfloor 2 \rfloor = 2$, $\lfloor -1 \rfloor = -1$ an embodiment of a 2-D lossless wavelet transform can be formulated in the following way.

The following description is based on the Haar transform, and first in the X direction. The forward step is the process of coding x(n) to obtain s(n) and d(n). The Haar X direction forward step is given by the following equation.

(Eq. 3A)
$$\begin{cases} s(n) = \left\lfloor \frac{x(2n) + x(2n+1)}{2} \right\rfloor, \\ d(n) = x(2n+1) - x(2n). \end{cases}$$

The inverse step is the process of recovering x(n) from s(n) and d(n). The Haar X direction inverse step is given by the following equation.

25 (Eq. 3B)
$$\begin{cases} x(2n) = s(n) - \left\lfloor \frac{d(n)}{2} \right\rfloor, \\ x(2n+1) = d(n) + x(2n). \end{cases}$$

10

15

The Haar-based low part of the Y direction forward step is given by the following equation.

(Eq. 4A)
$$\begin{cases} s(n) = x(2n) + x(2n+1), \\ d^{(1)}(n) = \left\lfloor \frac{x(2n+1) - x(2n)}{2} \right\rfloor, \\ d(n) = 2d^{(1)}(n). \end{cases}$$

Eq 4A shows the following properties of an embodiment of the present invention. First, s(n) comprises scaled LL-subband coefficient. Second, s(n) and $d^{(1)}(n)$ are a de-correlated and reversible couple (so they can be transformed back to x(2n) and x(2n+1)), but $d^{(1)}(n)$ is not scaled, so it is assigned half the value use in similar transformed known in the art. Thus, $d^{(1)}(n)$ is multiplied by 2. Third, and nevertheless, the LSB of the LH-subband coefficient d(n) is known to be 0 and not encoded.

The Haar-based low part of the Y direction inverse step is given by the following equation.

(Eq. 4B).
$$\begin{cases} x(2n+1) = \frac{1}{2}(s(n)+d(n)+(s(n) \mod 2)), \\ x(2n) = s(n)-x(2n+1). \end{cases}$$

The Haar-based high part of the Y direction forward step is given by the following equation.

(Eq. 4C)
$$\begin{cases} d^{(1)}(n) = x(2n+1) - x(2n), \\ HalfBit(n) = \left(d^{(1)}(n)\right) \mod 2, \\ d(n) = \left\lfloor \frac{d^{(1)}(n)}{2} \right\rfloor, \\ s(n) = x(2n) + d(n). \end{cases}$$

15

Eq. 4C shows the following properties. First, $d^{(i)}(n)$ and s(n) comprise a decorrelated and reversible couple, but $d^{(i)}(n)$ is not scaled, so it is assigned twice the value use in similar transformed known in the art. Therefore, $d^{(i)}(n)$ is divided by 2. By doing that, its least significant bit is lost, and cannot be restored, unless this bit is kept as the so called Half-Bit information. This name serves to remind us that its weight is half that of other coefficients and that it is the least significant (from an approximation point of view).

The Haar-based high part of the Y direction inverse step is given by the following equation.

(Eq. 4D)
$$\begin{cases} x(2n) = s(n) - d(n), \\ d^{(1)}(n) = 2d(n) + HalfBit(n), \\ x(2n+1) = d^{(1)}(n) + x(2n). \end{cases}$$

The following description is based on the CDF (1,3) transform, and first in the X direction. The forward step is the process of coding x(n) to obtain s(n) and d(n). The CDF (1,3) X direction forward step is given by the following equation.

(Eq.5A)
$$\begin{cases} s(n) = \left\lfloor \frac{x(2n) + x(2n+1)}{2} \right\rfloor, \\ d^{(1)}(n) = x(2n+1) - x(2n), \\ d(n) = d^{(1)}(n) + \left\lfloor \frac{s(n-1) - s(n+1)}{4} \right\rfloor. \end{cases}$$

The CDF (1,3) X direction inverse step is given by the following equation.

(Eq.5B)
$$\begin{cases} d^{(1)}(n) = d(n) - \left\lfloor \frac{s(n-1) - s(n+1)}{4} \right\rfloor, \\ x(2n) = s(n) - \left\lfloor \frac{d^{(1)}(n)}{2} \right\rfloor, \\ x(2n+1) = x(2n) + d^{(1)}(n). \end{cases}$$

CDF (1,3) low part Y direction forward step is given by the following equation.

(Eq.6A)
$$\begin{cases} s(n) = x(2n) + x(2n+1), \\ d^{(1)}(n) = \left[\frac{x(2n+1) - x(2n) + \left\lfloor \frac{s(n-1) - s(n+1)}{8} \right\rfloor}{2} \right], \\ d(n) = 2d^{(1)}(n). \end{cases}$$

5 CDF (1,3) low part Y direction inverse step is given by the following equation.

(Eq.6B)
$$\begin{cases} s^{(1)}(n) = s(n) - \left\lfloor \frac{s(n-1)-s(n+1)}{8} \right\rfloor, \\ x(2n+1) = \frac{1}{2} \left(s^{(1)}(n) + d(n) + \left(s^{(1)}(n) \bmod 2 \right) \right), \\ x(2n) = s(n) - x(2n+1). \end{cases}$$

CDF (1,3) high part Y direction forward step is given by the following equation.

$$\begin{cases}
s(n) = \left\lfloor \frac{x(2n) + x(2n+1)}{2} \right\rfloor, \\
d^{(1)}(n) = x(2n+1) - x(2n), \\
d^{(2)}(n) = d^{(1)}(n) + \left\lfloor \frac{s(n-1) - s(n+1)}{4} \right\rfloor, \\
d(n) = \left\lfloor \frac{d^{(2)}(n)}{2} \right\rfloor, \\
HalfBit(n) = d^{(2)}(n) \mod 2.
\end{cases}$$

10

15

20

CDF (1,3) high part Y direction inverse step is given by the following equation.

$$\begin{cases} d^{(1)}(n) = 2d(n) + HalfBit(n) - \left\lfloor \frac{s(n-1)-s(n+1)}{4} \right\rfloor, \\ x(2n) = s(n) - \left\lfloor \frac{d^{(1)}(n)}{2} \right\rfloor, \\ x(2n+1) = d^{(1)}(n) + x(2n). \end{cases}$$

2-D Lossless Transforms have better approximation properties to the exact mathematical wavelet transform than previous known separable implementation of the one-dimensional lossless transform.

The fact that the 2-D transform requires two sequential 1D transforms allows 2-D Lossless Transforms to mutually compensate the scale distortion of 1D lossless wavelet transform and to keep the proper scaling of wavelet coefficients as described herein above in reference to Eq.'s 4a through 6d and in the above-referenced U.S. Patent Application Serial No. 60/198,017. It is impossible to apply the same method in the case of 3-D lossless wavelet transform. Instead, the present invention modifies the lossless 1D Haar transform in such a way that allows it to keep the wavelet coefficients as close to their scaled values as possible and to decrease the scatter of scaling factors in different subbands at all the resolution levels.

The present invention uses a transform in the form of the following equation for HHX-Subbands type decomposition for the coefficients belonging to the HL and HH subbands of the 2-D slices

(Eq. 7A)
$$\begin{cases} s(n) = z(2n) + z(2n+1), \\ d(n) = \left\lfloor \frac{z(2n) - z(2n+1)}{2} \right\rfloor \times 2 \end{cases}$$

PCT/US01/48933

The following different equation is used for the coefficients from the LH subband of the 2-D slices that already have LSB equal 0.

(Eq.7B)
$$\begin{cases} s(n) = z(2n) + z(2n+1), \\ d(n) = \left| \frac{z(2n) - z(2n+1)}{4} \right| \times 4. \end{cases}$$

5

10

20

This means that hlh subband of this 3-D transform has its two last bits equal to 0.

Eq. 7B scales s(n) and d(n) by a factor of $\sqrt{2}$. Because of the fact that all the coefficients resulting from the 2-D Lossless Transforms are scaled properly, all the wavelet coefficients of our entire 3-D transform are scaled in total effect by $\sqrt{2}$ in this case.

The inverse transforms for Eq's 7A and 7B are 7C and 7D respectively.

15 (Eq. 7C)
$$\begin{cases} z(2n) = \frac{1}{2}(s(n) + d(n) + (s(n) \mod 2)) \\ z(2n+1) = s(n) - z(2n) \end{cases}$$

(Eq. 7D)
$$\begin{cases} z(2n) = \frac{1}{2}(s(n) + d(n)) + (\frac{1}{2}s(n)) \mod 2 \\ z(2n+1) = s(n) - z(2n). \end{cases}$$

It should be mentioned that the resulting low-resolution 2-D image, that is passed to the next resolution processing is properly scaled. It should be also noted that, as explained herein above, it does not undergo a transform in Z direction.

10

A single one-dimensional lossless wavelet transform doesn't preserve the scaling of the resulting coefficients, but the requirement exists, as explained herein above in reference to Fig. 5 and 6 for XXH-Subbands type decomposition to use a one-dimensional transform. The present invention can overcome this difficulty in the following way: Two different transforms can be implemented in turn. In one of them, the resulting lowpass coefficients are scaled by a factor of $\sqrt{2}$, and in another they are scaled by $\sqrt{2}^{-1}$. This preserves overall scaling of the low pass fraction. In other words, every odd time Eq. 7A is used for the forward step and Eq. 7C for the inverse step, and every even time the following Eq. 7E is used for the forward step, and Eq. 7F for the inverse step.

(Eq. 7E)
$$\begin{cases} s(n) = \left\lfloor \frac{z(2n) + z(2n+1)}{2} \right\rfloor, \\ d^{(1)}(n) = z(2n) - z(2n+1), \\ HalfBit(n) = \left(d^{(1)}(n)\right) \mod 2, \\ d(n) = \left\lfloor \frac{d^{(1)}(n)}{2} \right\rfloor \end{cases}$$

(Eq. 7F)
$$\begin{cases} z(2n) = s(n) + d(n) + HalfBit(n), \\ z(2n+1) = s(n) - d(n). \end{cases}$$

15

The resulting scaling factors of wavelet coefficients for all subbands in the proposed multiresolution decomposition are shown on Fig. 7. It can bee seen that with the present invention all the coefficients are almost equally scaled.

20

As for the *All-Subbands* type decomposition, which is optionally implemented and only for the lowest resolution (or DC) block the present invention uses the combination of *HHX-Subbands* and *XXH-Subbands* types of decompositions.

Reference is now made to Fig. 8. The figure depicts data blocks as defined in Eq. 2. Embodiments of the present invention for lossless transformation can utilize two additional "half bit planes", one of which is associated with 2-D wavelet transforms on the XY plane as explained in reference to Eq's 3 through 6. The other is associated with transform in the Z direction as explained in reference to Eq's 7. Each of these two "half bit planes" is implemented as a three-dimensional matrix. For the HHXdecomposition this matrix has size Subbands type (tileLength/2)×(tileLength/2)×tileHeight while for the XXH-Subbands type decomposition this matrix has size $tileLength \times tileLength \times (tileHeight/2)$.

Thus, each data block for lossless implementation contains the following data in encoded format:

1. For $t_bitPlane \ge 2$

10

15

20

25

- a. A list of the indices of all subband coefficients whose absolute value is in the range $\left[2^{t_-bitPlane-1}, 2^{t_-bitPlane}\right)$.
- b. The sign of all the coefficients of 1.a.
- c. For $t_bitPlane > 2$, an additional precision bit for any coefficient that belongs to the current bit plane or any higher bit plane.

These are exactly the same data as for the lossy case.

- 2. For t bitPlane = 1, which is called herein the "least significant bit plane":
 - a. A list of the indices of coefficients whose absolute value belongs to the set {-1,0,1} from HLH and HHH-subbands for HHX-Subbands type of decomposition or from HLH and HHH-subbands for XXH-Subbands at even resolution type of decomposition or all subbands for XXH-Subbands type of decomposition at odd resolutions.
 - b. A "zero flag" for each coefficient of 2.a, which indicates if the coefficient is equal to zero or not.

- c. The sign of all the coefficients of 2.a, whose "zero flag" is false.
- d. The LSB of the coefficients from 2.a that belong to higher bit plane.

Note that since the remaining subbands contain only even coefficients, their LSB must be zero and is not coded.

3. For t_bitPlane = 0 which is called herein the "half bit plane", a matrix of (tileLength/2) × (tileLength/2) × tileHeight bits for HHX-Subbands type decomposition, or a matrix of tileLength × tileLength × (tileHeight/2) bits for XXH-Subbands type decomposition as their last "half bit".

The encoding algorithm employs the forward steps described above with reference to Eq's 3 through 7. It is performed at the server, which is block 120 in Fig. 1. The present invention is an imaging system that enables this time consuming task to be swiftly performed for a specific ROI and not necessarily on the full image. The following is a description the encoding algorithm for images with a single color component such as grayscale images. The straightforward generalization for an arbitrary number of components is explained later. The algorithm receives as input the following parameters listed in Table 1.

10

15

Variable	Meaning
coef	3D matrix of subband coefficients, containing either
	$3\times(tileLength/2)\times(tileLength/2)\times tileHeight$ coefficients for HHX-
	Subbands type decomposition or
	tileLength×tileLength×(tileHeight/2) coefficients for XXH-Subbands
	type decomposition.
EqualBinSize	Boolean: True for high or lossless quality. False for low quality.
\mathcal{E}_c	The minimal threshold for the component.
HalfBit	A 3D matrix of bits containing
	(tileLength/2)×(tileLength/2)×tileHeight bits for HHX-Subbands
	type decomposition or tileLength×tileLength×(tileHeight/2) bits for
	XXH-Subbands type decomposition.

Table 1 Encoding Algorithm input parameters

At any given time in the encoding algorithm a coefficient belongs to one of three types of groups:

- 1. Type16: A group of $4\times4\times1$ coefficients (B group) $\left\{coef\left(4i+x,4j+y,k\right),x\in\left[0,3\right]\right\}$
 - 2. Type4: A group of $2 \times 2 \times 1$ coefficients (A group) $\left\{coef\left(2i+x,2j+y,k\right), x \in [0,1], y \in [0,1]\right\}$
- 3. Type1: Single coefficient

A Type16 group is also named herein a 'B' group, and a Type4 group is also named herein as 'A' group.

In a general case, the size of the A and B groups of coefficients may be controlled by parameters such as the following:

5

- Coef. A Group Length in X and Y,
- Coef A Group Length in Z,
- A groups In a B Group in X and Y,
- A groups In a B Group in Z,

10

15

which allow flexible control of coefficients grouping. The sizes need not necessarily be 4 and 16.

The encoding algorithm is now described in reference to Fig. 27. The encoding algorithm principal steps are the following. Step 2710 is an initialization, and step 2720 is an outer loop in which the inner loops nest.

The first step in the encoding algorithm is its initialization (step 2710), which is conducted as follows.

20

1. Assign to each coefficient coef(x, y, z) its bit plane such that

$$|coef(x, y, z)| \in [\varepsilon 2^b, \varepsilon 2^{b+1})$$

where $\varepsilon = \varepsilon_c$ for lossy applications and $\varepsilon = 2^{-1}$ for lossless ones.

25 2. Compute the maximum bit plane over all such coefficients

$$maxBitPlane(tile) = \max_{x,y,z} (b(x,y,z))$$

3. Write the value of maxBitPlane(tile) using one byte as the header of the data block (t x,t y,t z,t resolution, maxBitPlane(t_resolution)).

- 4. Initialize all the coefficients as members of their corresponding Type16 group.
- 5. Initialize a list of significant coefficients to be empty.

10

15

20

25

30

6. Initialize a coefficient approximation matrix *coef* as zero.

The second step in the encoding algorithm (step 2720) can be conducted as follows with reference to Fig. 9. The encoding algorithm scans the bit planes from b = maxBitPlane(tile) to b = 0 (step 910). The output of each such bit plane scan is the subband data block defined in Eq. 2. Since the last stage of the encoding algorithm is arithmetic encoding of given symbols, at the beginning of each scan (step 920) the arithmetic encoding output module is redirected to the storage area allocated for the particular data block. Once the bit plane scan is finished and the data block defined in Eq. 2 has been encoded (step 940), the output stream is closed (step 950) and the bit plane is decremented (step 960). The last two bit planes for the lossless applications are the "least significant bit plane" (b = 1) and the "half bit plane" (b = 0), as described in reference to Fig. 8. The following description with reference to Fig. 10A, Fig. 10B and Fig. 11 is true for the case b > 1, while the description with reference to Fig. 12A and Fig. 13 is true for the case b = 1 and Fig. 12B is true for the case b = 0.

The process of encoding per bit plane (step 940) in Fig. 9, is now described in detail with reference to Fig. 10A, Fig. 10B and Fig. 11. A flowchart is depicted in Fig. 10A and Fig. 10B and equivalent pseudo-code is listed in Fig. 11. The scan, for a given level b, encodes all of the coefficients' data corresponding to the absolute value interval $\left[\varepsilon 2^{b}, \varepsilon 2^{b+1}\right)$ where $\varepsilon = \varepsilon_{c}$ for lossy applications and $\varepsilon = 2^{-1}$ for lossless ones, as described with reference to Fig. 3 and Fig. 8

As with any progressive subband-coding algorithm, the goal is to efficiently encode the locations of the coefficients that are "exposed" when encoding traverses

PCT/US01/48933

32

down to the current bit plane. Naturally, the encoder and decoder have at the time of the scan all the information on what "took place" at the previous higher bit plane scans. As with most methods, the heuristics of the algorithm is that coefficients, which are insignificant at the current bit plane, which are coefficients with absolute value $< \varepsilon 2^b$, are spatially correlated. If it is known that a coefficient is insignificant at the bit plane b then with higher probability so will his neighbors. This explains why the algorithm groups coefficients into groups of 16 and then 4 and tries to efficiently report (with one symbol) their insignificance. The significance scan uses four binary probability models as listed in the following table.

10

. 15

20

5

Model	<u>Use</u>
Type16	to model significance of the groups of 16
Type4	to model significance of the groups of 4
Typel	to model significance of single coefficients
Sign	to model the sign of a significant coefficient

Table 2

The models listed in Table 2 are simply histograms with two levels. They are initialized to equal probabilities at the beginning of each significance scan and are used by and updated by the arithmetic encoder each time a symbol is encoded. Description now proceeds to details of the significance scan.

As depicted in Fig. 10A, a first loop uses a test (step 1010) on groups of 4×4 coefficients. If there are no more such groups the significance scan is terminated and encoding proceeds to an accuracy update in (step 1000). If the current group is still labeled Type16 (step 1020) the encoder computes $b_group = \max_{x,y,z \in group16} b(x,y,z)$ and arithmetic encodes the Boolean value $b_group < b$ (computed in step 1030). If $b_group < b$ (step 1040), then the group is currently insignificant and encoding proceeds to the next group. Else, the encoder removes the label Type16 (step 1050), splits the

group to 4 subgroups of 2×2 coefficients and labels each of them *Type4*. If the group of 16 was not labeled *Type16* (step 1020) then it must have been split at a higher bit plane. In both cases the next step will handle the four subgroups of four coefficients.

The encoder iterates over the subgroups of four coefficients (step 1070). If a subgroup is labeled Type4 the encoder again computes $b_subgroup = \max_{x,y,z \in subgroup} b(x,y,z)$ and arithmetically encodes the value of the test $b_subgroup < b$. There is no need to perform the arithmetic encoding step if the following three conditions hold true:

10

20

25

30

5

- 1. The current group is the fourth subgroup.
- 2. The previous three subgroups are still labeled Type4.
- 3. The four subgroups were created "just now" at the current scan by splitting a group of 16.

Under these conditions it is certain that $b_subgroup \ge b$, or else the split would not have taken place. Thus, there is no need to arithmetically encode the significance of the subgroup, since this information will be known also to the decoder at this stage.

If the current subgroup is found to be (still) insignificant the encoder proceeds to the next subgroup. Else, it removes the label *Type4*, split the subgroup to the single coefficients and label each of them *Type1* (step 1100). If the subgroup was not labeled *Type4* then it must have been split at a higher bit plane. In both cases the next task is to handle each individual coefficient.

The iteration performed over the four coefficients in step 1110 in Fig.10 B is now explained in detail. If a coefficient coef(x, y, z) is labeled Type1 the encoder

arithmetically encodes the value of the test b(x, y, z) < b. There is no need to perform the arithmetic encoding step if the following three conditions hold true:

1. The current coefficient is the fourth coefficient.

5

10

15

- 2. The previous three coefficients are still labeled Type1.
- 3. The subgroup of the four coefficients was split "just now" at the current scan.

Under these conditions it is certain that $b(x, y, z) \ge b$, or else the split would not have taken place. As in the subgroup case, there is no need to arithmetically encode the significance of the coefficient.

If the current coefficient is still found to be insignificant, then encoding proceeds to the next coefficient, else, the encoder arithmetically encodes the sign of the coefficient, remove the label *Type1* and adds the coefficient to the list of significant coefficients. The encoder now sets

$$coef(x, y, z) = sign(coef(x, y, z)) \times \varepsilon \times \frac{3 \times 2^b}{2}$$

20

The value of coef(x, y, z) simulates the reconstruction of the coefficient by the decoder. At this stage, with no additional information available, this will be the approximated value of the coefficient by the decoder, which corresponds to the middle of the bit plane interval $\left[\varepsilon \, 2^b, \varepsilon \, 2^{b+1}\right)$.

25 [°]

The encoding accuracy update of step 1000 in Fig. 10A in now explained in detail. This step is performed if one of the following two conditions holds:

1. equalBinSize = false (see Table 1)

PCT/US01/48933

2. *b*>0

WO 02/48966

5

10

15

25

At the bit plane b the significant coefficient list contains all the coefficients coef(x,y,z) for which $b(x,y,z) \ge b$ and their current approximated value coef(x,y,z). For each coefficient in the list the encoder arithmetically encodes the value of the test coef(x,y,z) < coef(x,y,z). To that end, a special binary probability model is initialized at the beginning of the step and used by the arithmetic encoder. After the accuracy improvement has been encoded, the encoder accordingly simulates the reconstruction done by the decoder and updates the coefficient's approximated value by adding/subtracting from coef(x,y,z) the amount

$$\varepsilon \times \frac{2^b}{4}$$
.

Reference is now made to Fig. 12A and Fig. 13, for the description of the encoding at the least significant bit plane. This is a detailed description of step 940 in Fig. 9, for the special case of b=1. Pseudo-code is provided in Fig. 12A, and an equivalent flowchart is shown in Fig. 13. The least significant bit encoding algorithm is as follows.

The coefficients scanning procedure in step 1210 in Fig. 12A and step 1310 in Fig. 13 skips all the coefficients that belong to those subbands that have zero least significant bits in all coefficients, as described in detail herein above in reference to Fig. 8.

The test for reported coefficients procedure in step 1220 in Fig. 12A and step 1320 in Fig. 13 returns false if the coefficient is one of $\{-1,0,1\}$ (as in all higher bit plane scans it was insignificant), otherwise it returns true. The test for zero coefficients

WO 02/48966

5

10

15

20

PCT/US01/48933

in step 1230 in Fig. 12A and step 1330 in Fig. 13 returns true if and only if the coefficient is zero.

The half bit plane scan is now described in reference to the pseudo-code shown in Fig. 12B. A scan is made in the three dimensions, labeled x, y and z. In each dimension the scan is made from zero to the size in that dimension. A symbol in encoded arithmetically in each point of the 3-D space. Clearly, the scan could be made in any other particular order such as the reverse order to that embodiment depicted in Fig. 12B, as long as the encoder and the decoder agree on the order of the scan. The decoder algorithm is explained herein below, and the relevant decoder scan is described with reference to Fig. 16B.

The decoding algorithm is a reversed reflection of the encoding algorithm performed in the server and described herein above. Decoding is done at the client computer during the progressive rendering operation as described at step 1705 of Fig. 17.

The decoding algorithm is explained herein using the simple case of an image with one component (such as a grayscale image). This is done for clarity of discussion, in the same fashion in which the encoding algorithm is explained herein above. The generalization to images of more components in straightforward. Input parameters to the algorithm are listed in Table 3 below:

Variable	Meaning
coef	Empty 3D matrix of subband coefficients to be filled by the decoding
	algorithm.
EqualBinSize	True for high or lossless quality. False for low quality (transmitted from
	the server).
\mathcal{E}_c	The minimal threshold for the component (transmitted from the server).
HalfBit	3D matrix of bits containing
	(tileLength/2)×(tileLength/2)×tileHeight bits for HHX-Subbands

type decomposition or $tileLength \times tileLength \times (tileHeight/2)$ bits for XXH-Subbands type.

Table 3

Decoding algorithm initialization

- 1. Assign the value zero to each coefficient Coef(x, y, z).
- 2. Assign the value zero to each bit belonging to the HalfBit matrix.
- 3. Initialize all the coefficients as members of their corresponding Type16 group.
- 4. Initialize the list of significant coefficients to be empty.
- 5. If the data block $(t_x, t_y, t_z, t_resolution, maxBitPlane(t_resolution))$
- is available at the client, read the value of maxBitPlane(tile), which in a embodiment of the invention is the first byte.

The outer loop of the decoding algorithm is now described with reference to Fig. 14. The decoding algorithm scans the bit planes from the given b = maxBitPlane(tile) to b = 0 (step 1410). The input to each such bit plane scan is encoded data block $(t_x, t_y, t_z, t_resolution, b)$. Since the first stage of the decoding algorithm is arithmetic decoding, at the beginning of each scan the arithmetic decoder's input module is redirected (step 1420) to read from "slot" in the database allocated for the particular data block. There are cases where although a subband tile participates in the rendering of the ROI, some of its data blocks will be missing. A data block could be missing due to the following reasons:

- 1. It was not requested for the current ROI since it is visually insignificant.
- 2. It was requested from the server, but has not arrived yet.

25

20

15

5

In any case, the tile's coefficients are required at some accuracy level for the rendering and the rule is obviously to use whatever data is present. At the first missing

data block (step 1430), the outer loop is terminated. For example, if the first data block is missing, all of the coefficients retain their initial value of zero. If only the first few data blocks are present then only coefficients with "large" absolute value will be reconstructed and at a low precision. Once the bit plane scan is finished and the data block $(t_x, t_y, t_z, t_resolution, b)$ has been decoded (step 1450), the input stream is closed (1460) and the bit plane is decremented. It should be mentioned that as during the encoding, the last two bit planes for the lossless applications are the "least significant bit plane" (b = 1) and the "half bit plane" (b = 0), as described in reference to Fig. 8.

10

Pseudo-code for the bit plane scan is provided in Fig. 15. The scan, for a given level b, decodes all of the coefficients' data corresponding to the absolute value interval $\left[\varepsilon 2^{b}, \varepsilon 2^{b+1}\right)$ where $\varepsilon = \varepsilon_{c}$ for lossy applications and $\varepsilon = 2^{-1}$ for lossless ones (as described in reference to Fig. 3 and Fig. 8).

The goal of the significance scan is to decode the locations of the coefficients that are "exposed" when the decoder traverses down to the current bit plane. Since the scan is a reversed reflection of the encoding scan as described herein above in reference to Table 2, the description below is brief. Reference is made to Fig. 28A and Fig. 28B,

which reflect corresponding Fig. 10A and Fig. 10B.

20

25

30

15

Reference is first made to Fig. 28A. The first loop is on groups of Type16. If there are no more such groups (step 2810) the significance scan is terminated and decoding proceeds to the accuracy update (step 2800). If the current group is still labeled Type16 (2820) decoding is performed of the Boolean value b_ Group
b (2830). If b_ Group
b (2840), then the group is currently insignificant and decoding proceeds to the next group (2845). Else, the decoder removes the label Type16 (step 2850) and splits the Type16 group to 4 subgroups of Type4. If the group of 16 was not labeled Type16 then it must have been split at a higher bit plane. In both cases decoding must now continue to process the four subgroups of four coefficients. The decoder uses the same probability models as the encoder for the purpose of arithmetic decoding.

10

15

20

25

Reference is now made to Fig. 28B. Decoding iterates (step 2860) over the subgroups of four coefficients. If a subgroup is labeled 4 *Type* arithmetic decoding of the value of the test b_ Subgroup < b is done (step 2880). The arithmetic decoding step is not performed if the following three conditions hold true:

- 1. The current group of Type4 is the fourth subgroup.
- 2. The previous three subgroups are still labeled Type4.

3. The four subgroups were created "just now" at the current scan by splitting a *Type16* group.

In such a case the group surely significant, it was not encoded and therefore need not be decoded. If the current subgroup remains insignificant, then decoding proceeds to the next subgroup. Else, the decoder removes the label *Type4*, splits the subgroup to the single coefficients and labels each of them *Type1*. If the subgroup was not labeled *Type4* then it must have been split at a higher bit plane. In both cases the decoder must now continue to handle each individual coefficient.

Decoding loops over the four coefficients. If a coefficient coef(x, y, z) is still labeled Typel the decoder arithmetically decodes the value of the test b(x,y,z) < b. It does not perform the arithmetic decoding step if the following three conditions hold:

- 1. The current coefficient is the fourth coefficient.
- 2. The previous three coefficients are still labeled Type1.
- 3. The subgroup of the four coefficients was split "just now" at the current scan.

In such a case the coefficient is surely significant, so there is no need to decode the significance of the coefficient.

If the current coefficient remains insignificant, decoding then proceeds to the next coefficient. Else, it arithmetically decodes the sign of the coefficient, removes the label Typel and adds the coefficient to the list of significant coefficients. At this stage the current approximated value coef(x, y, z) at the decoder is

$$sign(coef(x, y, z)) \times \varepsilon \times \frac{3 \times 2^b}{2}$$

which corresponds to the middle of the bit plane interval $\left[\varepsilon 2^{b}, \varepsilon 2^{b+1}\right)$.

10

15

At the bit plane b the significant coefficient list contains all the coefficients coef(x,y,z) for which $b(x,y,z) \ge b$ at an approximated value. The encoder provided this list by step 1000 in Fig. 10A, and the decoder handles it in step 2800 in Fig. 28A. When performing this operation, and for each coefficient in the list the decoder arithmetically decodes the value of test coef(x,y,z) < coef(x,y,z), where coef(x,y,z) is the actual value of the coefficient (not known to the decoder). It then accordingly updates the approximated value by adding/subtracting from coef(x,y,z) the amount

$$\varepsilon \times \frac{2^b}{4}$$
.

Pseudo-code for the least significant bit plane scan and half bit plane scan are given in Fig. 16A and Fig. 16B. The decoder scans all the coefficients from all subbands at step 1610. Decoding of those of the subbands that are skipped by the encoding algorithm is not performed, but their least significant bit is counted as zero instead. The order of the scan in Fig. 16B reflects the order of the encoding scan in

25 Fig. 12B.

10

15

20

PCT/US01/48933

Reference is now made to Fig. 17 for the description of the workflow at the client computer 110. Any new ROI generated by the user's action such as a zoom-in or a scroll, invokes at step 1701 a call from the GUI interface to the client imaging module with the new ROI view parameters. The client imaging module then computes in step 1702 which data blocks (described herein above in reference to Fig. 3) are required for the rendering of the ROI and checks if these data blocks are available in the client cache. If not, their coordinate (given by Eq.2) is added to a request list ordered according to some progressive mode. The request list is then encoded in step 1703 and sent to the server. The server responds to the request by sending back to the client a stream of data blocks, in the order in which they were requested. In step 1704 the client inserts them to their appropriate location in the distributed database. At various moments (step 1705), the rendering of the ROI, is invoked. Naturally, the rendering operation is progressive and is able to use only the currently available data in the client's database.

The imaging module on the client computer 110 receives view parameters listed in Table 4 from the GUI interface module. These parameters are used in step 1702 to generate a request list for the ROI. The same parameters are used to render the ROI in step 1705.

Variable	Meaning
worldPolygon	A 2-D polygon in the original image coordinate system -
	defines which portion of each slice of the sequence will be
	rendered
sliceRange	An interval [firstSlice,lastSlice) that defines which slices will be
	rendered
scale	The view resolution. $0 < scale < 1$ implies a low view resolution,
•	scale =1 original resolution and scale >1 a "higher than
	original" view resolution

10

15

20

deviceDepth	A number in the set {8,16, 24} representing the depth of the
viewQuality	Output device A quality factor in the range [1, 7] where 1 implies very low
	quality and 7 implies lossless quality
luminanceMap	If active: a curve defining a mapping of medical images with more than 8 bits (typically 10,12,16 bits) per grayscale values to an 8 bit screen
progressiveMode	One of: Progressive By Accuracy, Progressive By Resolution, Progressive by Spatial Order

Table 4

The basic parameters of a ROI are worldPolygon, sliceRange and scale, which determine uniquely the ROI view. If the ROI is to be rendered onto a viewing device with limited resolution, then a sliceRange, containing a large number of slices of the 3-D image, will be coupled by a small scale. The other view parameters determine the way in which the view will be rendered. The parameters deviceDepth and viewQuality determine the quality of the rendering operation. In cases the viewing device is of low resolution or the user sets the quality parameter to a lower quality, the transfer size can be reduced significantly, as described herein below in reference to step 1702.

The parameter *luminanceMap* is typically used in medical imaging for grayscale, images that are of higher resolution than the viewing device. Typically, screens display grayscale images using 8 bits, while medical images sometimes represent each pixel using 16 bits. Thus, it is necessary to map the bigger pixel range to the smaller range of [0, 255].

Lastly, the parameter *progressiveMode* determines the order in which data blocks should be transmitted from the server computer 120. The "Progressive By Accuracy" mode is the preferred mode for viewing in low bandwidth environments. "Progressive By Resolution" mode is easier to implement since it does not require the

PCT/US01/48933

more sophisticated accuracy (bit plane) management and therefore is commonly found in previous solutions. The superiority of the "progressive by accuracy" mode can be mathematically proved by showing the superiority of non-linear approximation over linear approximation for the class of real-life images. The "Progressive by Spatial Order" mode is preferred for a cine view of the sequence of 2-D slices one by one. In this mode the image data is ordered and received from the first slice to the last one, such that rendering can be done in parallel to the transmission.

Reference is now made to Fig. 18 for the description of luminance mapping. A mapping from original image depth (e.g., 10,12,16 bits) to screen depth (typically 8-bits), is defined by a monotonic function as in Eq. 8

$$f: [0, 2^{original_image_depth} - 1] \rightarrow [0, 2^{screen_depth} - 1].$$
(Eq.8)

15

20

10

The curve influences not only the mapping, i.e. the drawing to the screen, but also the request from the server. To understand that, let us concentrate in the maximal gradient of the curve in Fig. 18. In a lossy mode, the request is created such that the image approximation in the client side is close enough to the original image, i.e., the RMS (Root Mean Square error) is visually negligible. When a curve (mapping function) is applied, the RMS can be increased or reduced. The maximal RMS increasing factor depends on the maximal derivative of the curve as in Eq. 9.

$$RMS$$
_increasing_factor = $\frac{RMS(f(I), f(\hat{I}))}{RMS(I, \hat{I})} \le \max(f')$,

(Eq.9)

25 where

I is the original image,

 \hat{I} is the approximated image,

f is the mapping function,

10

15

20

25

$$RMS(I_1, I_2) = \frac{\|I_1 - I_2\|_{L_2}}{\text{Image size}},$$

 $\max(f')$ is the maximal derivative of the curve.

Instead of calculating Eq. 9, image quality will be assured by using the worst case of the RMS increasing factor that is given by the following Eq.10.

$$RMS$$
_increasing_factor = $Maximal$ _ $derivative = max(f')$ (Eq.10)

If the RMS increasing factor is greater than 1, it means that the "new RMS" may be greater than a visually negligible error. Thus, the request list should be increased (more bit-planes should be requested from the server) in order to improve the approximation accuracy. Conversely, if the RMS increasing factor is smaller than 1, the request list can be reduced. The exact specification of this is given in the follows description.

In step 1702, using the ROI view parameters, the client imaging module calculates data blocks request list ordered according to the progressiveMode. Given the parameters worldPolygon, sliceRange and scale it is possible to determine which subband tiles (such as defined in Eq. 1) in the frequency domain participate in the reconstruction of the ROI in the image domain. These tiles contain all the coefficients that are required for an Inverse Subband/Wavelet Transform (IWT) step that produces the ROI. The parameter dyadicResolution(ROI), which is the lowest possible dyadic resolution higher than the resolution of the ROI, is first calculated. Any subband tiles of a higher resolution than dyadicResolution(ROI) do not participate in the rendering operation. Their associated data blocks are therefore not requested, since they are visually insignificant for the rendering of the ROI. If $scale \ge 1$ then the highest resolution subband tiles are required. If $scale \le 2^{1-thumbnatiResolution}$ (as described in reference to Fig. 4) then only the lowest resolution tile is required. Mapping is performed for any other value of scale according to Table 5. Table 5 describes the mapping from a given scale to dyadic subband resolution.

Scale	Highest Subband Resolution
$scale \leq 2^{1-thumbnatlResolution}$	1
$2^{1-thumbnailResolution} < scale \le 1$	$numberOfResolutons - \lfloor -\log_2(scale) \rfloor$
scale >1	NumberOfResolutions

Table 5

Once it is determined which subband tiles participate in the rendering of the ROI computation proceeds with finding which of their data blocks are visually significant and in what order they should be requested. Using well known rate/distortion rules from the field of image coding, it is possible to determine the preferred order in which the data blocks should be ordered by the client imaging module (and thus delivered by the server). An ordering scheme referred to herein as "Progressive By Accuracy" mode is described in Fig. 19. The mathematical principals of non-linear approximation underlie this approach. First, the subband coefficients with largest absolute values are requested since they represent the most visually significant data such as strong edges in the image. Notice that high resolution coefficients with large absolute value are requested before low resolution coefficients with smaller absolute value. Within each given layer of precision (bit plane) (step 1910) the order of request is according to resolution (step 1920), low resolution coefficients are requested first and the coefficients of highest-Sub-band-Resolution are requested last.

10

15

20

A major difficulty in executing this step is now discussed. Assume a subband tile such as described by Eq. 1 is required for the rendering of the ROI. This means that $t_{resolution} \le dyadicResolution(ROI)$ and the tile is required in the IWT procedure that reconstructs the ROI. There is a need to understand which of the data blocks such as described by Eq. 2 associated with the subband tile represent visually insignificant data and thus should not be requested. Sending all of the associated data blocks will not

10

15

affect the quality of the progressive rendering. However, in many cases transmitting the last of data blocks associated with high precision is unnecessary since the last blocks will be visually insignificant. In such a case, the user will notice that the transmission of the ROI from the server is still in progress, yet the progressive rendering of the ROI seems to no longer change the displayed image.

This difficulty is further explained by the following examples:

In the first example, let us assume that the ROI is a low resolution rendering of the full image at the resolution n. Thus, all the subband coefficients at the subband resolutions $1 \le j \le n$ participate in the IWT of the ROI. However, only the very large coefficients of these sub-bands are visually significant for a rendering of this ROI, even at very high qualities. Also these large coefficients are only required at a very low precision. Thus, it is advisable to request from the server only the first few data blocks associated with each subband tile at resolutions $\le n$ since they correspond to the highest bit planes.

In the second example, let us assume that the ROI is at the highest resolution of the image. Usually this would mean that for any subband tile participating in the reconstruction of the ROI all of the associated data blocks should be requested, such that the rendering will be of a very high (or even lossless) quality. But if the parameter deviceDepth listed in Table 4 is set at low resolution (indicating that the viewing device is of low resolution) or the parameter viewQuality is set at low quality (indicating that the user is currently not interested in high quality rendering), the last data blocks of each subband tile corresponding to high precision need not be ordered.

25

30

20

A method to determine which of the first data blocks are required is as follows. First, for each subband tile $(t_x, t_y, t_z, t_resolution)$ participating in the reconstruction of the ROI, initialize the set of requested data blocks to the "maximal" set

$$(t_x,t_y,t_z,t_{resolution},t_{bitPlane}),$$

WO 02/48966 PCT/US01/48933

 $minRequestPlane \le t _bitPlane \le maxBitPlane(t _resolution)$

with minRequestPlane = 0. Recall that at this point the client does not know the amount of data that each absent data block contains. In many cases requested data blocks will turn out to be empty. Second, remove from the data set all the data blocks $(t_x, t_y, t_z, t_resolution, t_bitPlane)$

such that $t_bitPlane \le numberOfResolution - dyadicResolution(ROI)$. That is one data block/bit plane for each lower resolution.

Third, update *minRequestPlane* accordingly. This rule, that the lower the resolution the less precision is required, originates from the way subband coefficients are scaled according to their resolution. Fourth, wenever *deviceDepth* = 8, the output device is of low resolution. In such a case the client can request less precision. Decrement one bit plane and set

 $minRequestPlane = min(maxBitPlane(t_resolution), minRequestPlane + 1).$

Fifth, if viewQuality is set at a lower viewing quality, further remove high precision data blocks from the set. Again, the rule is a removal of one bit plane per lower quality.

Last, and according to the description herein above in reference to Fig. 18, additionally reduce or add the number

$$\log_2\left(\frac{1}{Maximal_derivative}\right)$$

20 of bit planes from the request list.

10

15

25

This last step in the process is further explained via the following examples.

In the first example there is given an image depth of 12 bit, a screen depth of 8 bit and a linear luminance mapping, calculate $Maximal_derivative = \frac{2^8}{2^{12}} = 2^{-4}$ and so the number of additionally reduced from the request list bit planes becomes

10

20

25

$$\left| \log_2 \left(\frac{1}{Maximal_derivative} \right) \right| = \left| \log_2 \left(\frac{1}{2^{-4}} \right) \right| = 4$$

PCT/US01/48933

In the second example there is given a luminance mapping with Maximal_derivative = 2, the number of bit planes reduced from the request list is:

$$\left| \log_2 \left(\frac{1}{Maximal_derivative} \right) \right| = \left| \log_2 \left(\frac{1}{2} \right) \right| = -1$$

Thus one bit plane is added to the original set.

In step 1703 in Fig. 17, the client imaging module encodes the request list into a request stream which is sent to the server computer 120 via the media 130 (see Fig. 1). The request list encoding algorithm is a "cube based" procedure. The heuristics of the algorithm is that the requested data block usually can be grouped into data block "cubes". From the request list of data blocks indexed as in Eq.2 the encoding algorithm computes structures of the type presented in Eq.11.

$$struct = \left\{ \left(t_x, t_y, t_z, t_resolution, t_bitPlane\right), n_x, n_y, n_z \right\}, \quad n_x, n_y, n_z \ge 1 \quad \text{(Eq.11)}$$

Each such structure represents the $n_x \times n_y \times n_z$ data blocks

$$\left\{\left(t_x+i,t_y+j,t_z+k,t_resolution,t_bitPlane\right)\right\}, \quad 0 \le i < n_x, 0 \le j < n_y, 0 \le k < n_z$$

The encoding algorithm attempts to create the shortest possible list of structures of the type defined by Eq.11, which can be done by collecting the data blocks to the largest possible cubes. It is important to note that the algorithm ensures the order of data blocks in the request list is not changed, since the server will respond to the request stream by transmitting data blocks in the order in which they were requested. This is particularly preferable when a user switches to another subsequent group of 3-D image slices that has not been viewed before. In such a case the request list might be composed of hundreds of requested data blocks, but they will be collected to one (x,y,z) cube as defined by Eq.11 for each pair $(t_resolution, t_bitPlane)$.

10

15

20

In step 1704 in Fig. 17 the client computer 110, upon receiving from the server an encoded stream containing data blocks, decodes the stream and inserts the data blocks into their appropriate location in the distributed database using their ID as a key. The decoding algorithm performed in this event is a reversed step of the encoding process described in reference to step 1703. Since the client computer 110 is aware of the order of the data blocks in the encoded stream, only the size of each data block need be reported along with the actual data. In case that the server informs of an empty data block, the receiving module marks the appropriate slot in the database as existing but empty.

Recall that the first four of the five coordinates of a data block $(t_x,t_y,t_z,t_resolution,t_bitPlane)$ denote the subband tile, associated with each data block. From the subband tile's coordinates we calculate the dimensions of the "area of visual significance." That is, the portion of the ROI that is affected by the subband tile.

Assuming that each subband tile is of size $tileLength \times tileLength \times tileHeight$ and that the wavelet basis used has a maximal filter size maxFilterSizeXY in X and Y directions and maxFilterSizeZ in Z direction, then defining $hFilterSize = \lceil maxFilterSize/2 \rceil$ and factorXY and factorZ — numbers of HHX-Subbands and XXH-Subbands type decompositions respectively that were performed on the 3-D image from the resolution t_resolution till the lowest resolution, we have that the dimensions of the affected region of the ROI (in the original image's coordinate system) are

$$\begin{bmatrix} t_x \times tileLength^{factorXY} - hFilterSizeXY^{factorXY}, (t_x+1) \times tileLength^{factorXY} + hFilterSizeXY^{factorXY} \end{bmatrix} \times \\ \begin{bmatrix} t_y \times tileLength^{factorXY} - hFilterSizeXY^{factorXY}, (t_y+1) \times tileLength^{factorXY} + hFilterSizeXY^{factorXY} \end{bmatrix} \times \\ \begin{bmatrix} t_z \times tileHeight^{factorZ} - hFilterSizeZ^{factorZ}, (t_z+1) \times tileHeight^{factorZ} + hFilterSizeZ^{factorZ} \end{bmatrix}$$

50

These dimensions are merged into the next rendering operation's region. The rendering region is used to efficiently render only the "updated" portion of the ROI.

Discussion now continues with the description of step 1705 in Fig. 17, the step of progressive rendering. During the transmission of ROI data from the server to the client, the client performs rendering operations of the ROI. To ensure that these rendering tasks do not interrupt the transfer, the client runs two program threads: communications and rendering. The rendering thread runs in the background and draws into a pre-allocated "off-screen" buffer. Only then does the client use device and system dependant tools to output the visual information from the "off-screen" to the rendering device such as the screen or printer.

The rendering algorithm performs reconstruction of the ROI at the highest possible quality based on the available data at the client. That is data that were previously cached or data that recently arrived from the server. For efficiency, the progressive rendering is performed only for the portion of the ROI that is affected by newly arrived data. Specifically, data that arrived after the previous rendering task began. This updated region is obtained using the method of step 1704 described herein above.

20

30

10

15

The parameters of the rendering algorithm are composed of two sets as follows. The first set comprises ROI parameters as listed in Table 4 herein above. The second set comprises parameters transmitted from the server as listed in Table 6 herein below, with the exception of one parameter, the *jumpSize* parameter, which is a parameter particular to the server.

The rendering algorithm computes pixels at the dyadic resolution dyadicResolution(ROI). Recall that this is the lowest possible dyadic resolution, which is higher than the resolution of the ROI. The obtained image is then resized to the correct resolution and mapped to the depth of output device.

WO 02/48966

PCT/US01/48933

Discussion of the rendering rate now follows. As ROI data is transmitted to the client, the rendering algorithm is performed at certain time intervals of a few seconds. At each point in time, only one rendering task is performed for any given displayed image. To ensure that progressive rendering does not become a bottleneck, two rates are measured: the data block transfer rate and the ROI rendering speed. If it is predicted that the transfer will be finished before a rendering task, a small delay is inserted, such that rendering will be performed after all the data arrives. Therefore, in a slow network scenario (as the Internet often is), for almost the entire progressive rendering tasks, no delay is inserted. With the arrival of every few kilobytes of data, containing the information of a few data blocks, a rendering task visualizes the ROI at the best possible quality. In such a case the user is aware that the bottleneck of the ROI rendering is the slow network and has the option to accept the current rendering as a good enough approximation of the image and not wait for all the data to arrive.

15

10

The following is a discussion of the memory requirements of a subband data structure, and of the allocation and initialization of this memory in reference to Fig. 20. This data-structure is required to efficiently store subband coefficients, in memory, during the rendering algorithm. This is required since the coefficients are represented in long integer (lossless coding mode) or floating-point (lossy coding mode) precision, which typically require more memory than a pixel representation (1 or 2 bytes). In a lossy mode the coefficients at the client side are represented using floating-point representation, even if they were computed at the server side using an integer implementation to minimize round-off errors.

25

30

The rendering algorithm begins with initializing coefficient and pixel memory 3-D matrices. Let us denote by dyadicWidth(ROI) the width of the XY projection of the ROI onto the resolution dyadicResolution(ROI) and height(ROI) the number of slices in the ROI. For each component two 3-D matrices of coefficients are allocated with dimensions

 $\textit{dyadicWidth} (\textit{ROI}) \times \textit{dyadicWidth} (\textit{ROI}) \times \textit{height} (\textit{ROI})$

For a lossless case two additional matrices with dimensions sliceWidth×sliceWidth×tileHeight

10

15

20

25

30

are allocated for half bit planes of XY and Z transforms as described herein above in reference to Fig. 8. The size of these allocations is limited by the resolution of the output device and not by the original 3-D data, leading to the memory-constrained data structure.

Beginning with the lowest resolution of 1, the algorithm executes a multiresolution march from the first to the last tile of the ROI in Z direction. The pseudocode for this recursive march is given in Fig. 20. First the allocated matrix is filled with sub-tiles of coefficients calculated on the lower resolution, giving low pass subbands of the current resolution. Then the matrix is complemented by the high pass subbands (wavelet) coefficients, decoded from the database or read from the memory cache as described herein below in reference to Fig. 21. An inverse subband transform described herein below in reference to Fig. 3 produces multiresolution pixels. Each time the highest resolution DyadicResolution(ROI) is reconstructed, it is supplied as input to the resizing and luminance mapping step.

The subband coefficients data structure described in herein above in reference to Fig. 20 is filled on a tile basis. Each such subband tile is obtained either by decoding the corresponding data blocks stored in the database or by reading from the memory cache. The memory cache is used to store coefficients in a simple encoded format. The motivation for its use is as follows. The decoding algorithm described herein above in reference to Table 3 is computationally intensive and thus should be avoided whenever possible. To this end the rendering module uses a memory cache where subband coefficient are stored in a relatively simple encoded format, which decodes relatively fast. For each required subband tile the following extraction procedure is performed, as depicted in Fig. 21. If no data blocks are available in the database for the subband tile (step 2130), its coefficients are set to zero (step 2140). If the tile's memory cache

storage is updated (step 2150), in other words if it stores coefficients in the same precision as in the database, then the coefficients can be efficiently read from there (step 2160). The last possibility is that the database holds the subband tile in higher precision. Then, the tile is decoded (step 2170) down to the lowest available bit plane using the decoding algorithm described herein above in reference to Table 3, and the cached representation is replaced (step 2180) with a representation of this higher precision information.

The inverse subband transform is an inverse step to step 2302 performed in the server as described herein below in reference to Fig. 23. Following Fig. 3, we see that eight combined tiles of total size

$$[nTileX(j) \times tileLength, nTileY(j) \times tileLength, tileHeight]$$

10

15

20

30

at the resolution j are transformed to a sequence of tileHeight frames at the next higher resolution using subbandTransformType(j). If j+1 < dyadicResolution(ROI), the pixels obtained by this step are inserted into the corresponding place of allocated memory at the resolution j+1. If j+1 = dyadicResolution(ROI), the pixels are processed by the next step of image resize and luminance mapping.

In case that the resolution of the ROI is not an exact dyadic resolution, the image obtained by the previous step must be re-sized to this resolution. This can be done using operating system imaging functionality. In most cases the operating system's implementation is sub-sampling which in many cases produces an aliasing effect, which is not visually pleasing. To provide higher visual quality, the imaging system uses the method of linear interpolation. The output of the interpolation is written to an "off-screen" buffer. From there it is displayed on the screen using system device dependant methods. When *luminanceMap* is active, mapping to 8-bit screen is performed using the mapping function described in reference to Fig. 18.

The operation of the server computer 120 in Fig. 1 will now be described with reference to Fig. 22. Initially, an uncompressed digital 3-D image is stored in, for

WO 02/48966

example, storage 122 of the server computer 120. This uncompressed digital 3-D image may be a sequence of two-dimensional images, stored with a selected resolution and depth. For example, in the medical field, each of these the uncompressed digital image may be contained in a DICOM file.

5

10

Once the client computer 110 requests to view or print a certain 3-D image, the server computer 120 performs preprocessing (step 2201). This step is a computation done on data read from the original digital 3-D image. The results of the computation are stored in the server cache device 121. After this fast computation a "ready to serve" message is sent from the server to the client containing basic information on the image.

In step 2202, the server receives an encoded stream of requests for data blocks associated with a ROI that needs to be rendered at the client. The server then decodes the request stream and extracts the request list.

15

In step 2203, the server reads from cache or encodes data block associated with low-resolution portions of the ROI, using the cached result of the preprocessing Step 2201.

20

25

If the ROI is a high-resolution portion of the image, the server (step 2204) either reads from cache or performs a local and efficient version of the preprocessing step 2201. Specifically, a local portion of the uncompressed 3-D image volume, associated with the ROI, is read from the storage 122, processed and encoded. In step 2205, the data that was encoded in step 2203 and step 2204 is progressively sent to the client in the order it was requested.

The preprocessing step 2201 is now described in further detail with reference to Fig. 23. The goal of the preprocessing algorithm is to provide the fastest response to the user's request to interact with the 3-D image. Once this fast computational step is performed, the server is able to provide efficient transmission of any client ROI requests that will follow. In most cases, regarding medical applications, the first ROI is

a view of several 2-D slices of the 3-D image volume at low resolution (thumbnails). The preprocessing algorithm begins with a request for an uncompressed image that has not been processed before or that has been processed but the result of this previous computation has been deleted from the cache. As explained, this unique algorithm is preferable over the simpler procedure of encoding the full image into some progressive format. This latter technique provides a significantly slower response to the user's initial request then the technique described below provided by the present invention. At the end of the algorithm a "ready to serve ROI" message is sent to the client containing basic information on the 3-D image. While some of this information, 3-D image dimensions, original color space, resolution etc., is available to the user of the client computer, most of this information is "internal" and required by the client to formulate ROI request lists (step 1702) and progressively render (step 1705). A detailed description of the preprocessing algorithm now follows.

10

Variable	Meaning
LosslessMode	If true, preprocessing will prepare data that can be used for
	lossless transmission.
SubbandTransformType(j)	The framework allows to select a different subband
	transform for each resolution of the 3D image. The technical
	term is: non-stationary transforms.
DecompositionType(j)	The type of decomposition (HHX-Subbands, XHH-Subbands,
	or All-Subbands, see §4) that was used on the resolution
	number j .
NumberOfResolutions	The number of resolutions in the Multiresolution structure
	calculated for the image.
JumpSize	A number in the range [0, numberOfResolutions - 1]. The
	preprocessing stage computes only the top lower part of the
	image's multiresolution pyramid of the size
	numberOfResolutions -jumpSize.
TileLength	Size of 3D tile in x and y direction. Typically = 64 as
•	tradeoff between time and coding performance.
TileHeight	Size of 3D tile in z direction. Typically $= 2$ for the better time
	performance.
NTilesX(j)	Number of subband tiles in the x direction at the resolution j
NTiles Y(j)	Number of subband tiles in the y direction at the resolution j
NTilesZ(j)	Number of subband tiles in the z direction at the resolution j
Threshold(j)	A matrix of values used in lossy compression. The subband
	coefficients at the resolution j with absolute value below
	threshold(j) are considered as (visually) insignificant and set
	to zero.

Table 6 Preprocessing Parameters

Given an input image, the parameters described in Table 6 above are either computed or chosen. These parameters are also written into a header sent to the client and are used during the progressive rendering step 1705. The most important parameters to select are the following:

5

The *losslessMode* is a mode in which progressive transmission of images takes place until lossless quality is obtained. Choosing this mode requires the preprocessing algorithm to use certain reversible wavelet transforms and may slow down the algorithm.

10

The subbandTransformType(j) parameters are used for the (dynamic) selection of wavelet basis that is crucial to the performance of the imaging system. The selection can be non-stationary, meaning a different transform for each resolution j. The selection is derived from the following considerations.

15

20

25

30

The first consideration is of coding performance (in a rate/distortion sense). This is obviously required from any subband/wavelet transform.

The second consideration is of an approximation of ideal low pass. It is preferred to choose a transform such that low resolutions of the image will be of high visual quality. Some filters produce poor quality low resolutions even before any compression takes place.

The third consideration is of fast transform implementation. The associated fast transform must be implemented using fast operations such as lifting steps, or integer shifts and additions, etc. Some good examples are the Haar and CDF transforms (1,3), (2,2).

The fourth consideration is of fast low pass implementation. This is a very important parameter, since together with the parameter named *jumpSize* herein, it determines almost all of the complexity of the algorithm. For example, the CDF (1,3) is

58

in this respect the "optimal" transform with three vanishing moments. Since the dual scaling function is the simple B-spline of order 1, its low pass is simple averaging. Thus, the sequence of CDF transforms, using the B-spline of order 1 as the dual scaling function, but with wavelets with increasing number of vanishing moments are in some sense "optimal" in our system. They provide a framework for both real time response and good coding efficiency.

The fifth consideration is the existence of a lossless mode. If the *losslessMode* parameter is true, filters must belong to a subclass of reversible transforms.

10

15

The sixth consideration is of low system I/O. If the network 157 depicted in Fig. 1 connecting between the image residing on the storage 122 and the imaging server 120 is slow, the bottleneck of the preprocessing stage (and the whole imaging system for that fact) might be simply the reading of the original image. In such a case we may choose a transform with a "lazy" sub-sampling low pass filter that corresponds to efficient selective reading of the input image. Many interpolating subband transforms with increasing number of vanishing moments can be selected to suit this requirement. However, this choice should be avoided whenever possible, since it conflicts with the first and second considerations.

20

The decomposition Type(j) parameters control the type of multi-resolution decomposition performed on each resolution j. These parameters together with the parameter named jumpSize give us the opportunity to achieve quick response to the first view of the 3-D image. For example in medical applications the common first view is the group of several subsequent 2-D slices of the 3-D image volume at thumbnail resolution. For this case it is preferred to perform several XXH-Subbands type decomposition on the original image up to the thumbnail resolution, and then to perform decompositions of HHX-Subbands type as descried herein above in reference to Fig. 4.

25

The parameter named jumpSize controls the tradeoff between fast response to the user's initial request for interaction with the image and response times to subsequent ROI requests. When *jumpSize* is large, the initial response is faster, but each computation of a region of interest with higher resolution than the jump might require processing of a large portion of the original image.

The threshold(j) parameters control the visual quality in the case of lossy compression. The smaller the thresholds, the higher the visual quality is. Naturally, the tradeoff is quality for bit-rate. A suitable choice for "visually lossless" quality in the case of a Grayscale image is threshold(j) = 6 for j = numberOfResolutions, and threshold(j) = 4 for j < numberOfResolutions. The choice is also derived from the mathematical properties of the pre-chosen subband transform(s). In the case of lossless compression these parameters are not used since in lossless mode there is no threshold operation performed.

15

5

By adding sufficient rows and columns to each resolution j (padding), one obtains tiling of the multiresolution structure with an exact resolution j, $\{nTilesX(j), nTilesY(j), nTilesZ(j)\}$ tiles for each of Size[tileLength, tileLength, tileHeight].

20

The following is a discussion of the memory requirements of a multi-resolution data structure, and of the allocation and initialization of this memory with reference to Fig. 23.

For efficient use of memory during the preprocessing stage, storage needs to be allocated for $2 \times tileHeight + maxfilterSizeZ$ frames at each resolution $1 \le j \le numberOfResolutions - jumpSize$. Each such memory frame buffer stores the low-pass coefficients at various resolutions. Thus the memory complexity is limited by several single frames and is independent of the number of frames in the original

30 sequence.

10

20

30

During the preprocessing, the resolutions are scanned simultaneously from start to end in the z direction. For each resolution the corresponding frame buffer stores low-pass coefficients or "pixels" at that resolution. The core of the preprocessing algorithm are steps 2302 to 2305 where tiles of pixels of size

[tileLength+2×maxFilterSizeXY,tileLength+2×maxFilterSizeXY,tileHeight+2×maxFilterSizeZ] are read from the memory frame buffers and handled one at a time. In Step 2302 the tile is transformed into a tile of size [tileLength,tileLength,tileHeight] containing two types of coefficient data: subband coefficients and "pixels" at a lower resolution. The subband coefficients are processed in step 2303 and step 2304 and are stored in the cache. The lower resolution pixels are inserted in step 2305 to a lower resolution memory frame buffer. Whenever such a new sub-tile of lower resolution "pixels" (step 2305) is inserted into a frame, a memory management module performs the following check. If the part of the sub-tile exceeds the current virtual boundaries of the memory frame buffer, the corresponding first frames of the memory frame buffer are considered unnecessary anymore. Their memory is (virtually) re-allocated for the purpose of storing the sub-tile's new data. The pseudo-code of the memory constraint scan algorithm is detailed in Fig. 24.

In Step 2301 the low pass filters of the transforms subbandTransformType(j), $numberOfResolutions - jumpSize < j \le numberOfResolutions$, are used to obtain a low resolution 3-D image at the resolution numberOfResolutions - jumpSize (as can be seen in Fig. 5). The low pass calculation is initiated by an input operation of tiles of pixels from the memory frame buffers performed in step 2302. Whenever there is an attempt to read missing low resolution tiles, they are computed by low-pass filtering the original image and inserted into the memory frame buffer. As explained herein above, the insertion over-writes frames which are no longer required, such that the algorithm is memory constraint. By metaphorically jumping up the multi-resolution ladder, the present invention avoids computation and storage of high resolution subband coefficients. For example, given a sequence of 2-D images of the size 512×512 pixels,

WO 02/48966

10

15

20

25

PCT/US01/48933

and given thumbnails of size 64×64 , by choosing jumpSize = 3, the main preprocessing stages: subband transform, quantization and storing are done only for a low resolution 3-D image that is 64 times smaller than the original 3-D image. When the user requests to view a higher resolution ROI, for example a single slice of size 128×128 , the server needs to read (again) the associated local area of the original image and perform (using step 2204) more computations.

In a lossy mode, the low pass filtering can be implemented efficiently in integer numbers without paying much attention to round-off errors. In lossless mode (when losslessMode = true), care must be taken to ensure that the result of the low pass step, which are low resolution pixels, can be used in a lossless framework. Specifically, it must be ensured that in a scenario where a user views a low resolution ROI and then zooms into a high resolution ROI, the low resolution data already present at the client side can be used for the rendering such that only the difference between the low resolution and high resolution need to be progressively transmitted until lossless quality is reached. Thus, the low pass filter is implemented using a special lossless "integer to integer" transform technique. Unfortunately, this means that this step is slower in lossless mode, due to this special implementation. Nevertheless, it is the embodiment as it provides fast response to the user's first request to view the image in a true lossless efficient imaging system.

In lossless mode the *jumpSize* parameter defines the number of lossless wavelet low pass steps should be done. A single low pass step is the same for Haar and CDF (1,3) and for the *HHX-Subbands* type of decomposition that is the only one used in the "jump". This step is defined by the following Eq.12.

$$ll(m,n) = \left| \frac{x(2m+1,2n+1) + x(2m,2n+1)}{2} \right| + \left| \frac{x(2m+1,2n) + x(2m,2n)}{2} \right|$$
 (Eq.12)

The server performs these steps efficiently (almost like the lossy algorithm) by a single operation that simulates exactly *jumpSize* low pass steps defined by Eq.12. The

simplicity of the formula makes filters such as Haar and CDF (1,3) optimal in the sense of low pass efficiency.

Step 2302 is one step of an efficient local subband transform (FWT) performed on a tile of pixels at the resolution $1 \le j \le numberOf$ Resolutions – jumpSize. As described herein above, the type of transform is determined by the parameters decompositionType(j) and subbandTransformType(j). The transform is performed on an extended tile of pixels of size

[tileLength+2×maxFilterSizeXY,tileLength+2×maxFilterSizeXY,tileHeight+2×maxFilterSizeZ] (except at the boundaries) read directly from the memory frame buffers at the resolution j+1. The output of the step is a subband tile as defined by Eq.2 of size [tileLength,tileLength,tileHeight] containing two types of coefficient data: subband/wavelet coefficients and low resolution coefficients/pixels. The transform step can be efficiently implemented in integer numbers. This saves converting the original pixels to floating-point representation and facilitates the next quantization step (used for lossy transform).

The subband transform of step 2302 outputs two types of coefficients: scaling function (low pass) and wavelet (high pass). For the lossless case their wavelet coefficients also include additional Half bit plane data. The wavelet coefficients are treated in step 2303 and step 2304 while the scaling function coefficients are treated in step 2305. Tiles of pixels which are located on the boundaries sometimes need to be padded by extra frames, rows and/or columns of pixels, such that they will formulate a complete tile of size [tileLength, tileLength, tileHeight].

25

30

20

10

15

In step 2303, unless *losslessMode* is true, the subband coefficients calculated in step 2302 are quantized. This procedure is performed at this time for the following reason. It is required that the coefficients computed in the previous step will be stored in the cache 121. To avoid writing huge amounts of data to the cache 121, some compression is required. Thus, the quantization step serves as a preparation step for the

next variable length encoding step. It is important to point out that the quantization step has no effect on compression results. Namely, the quantization step is synchronized with the encoding algorithm ensuring that the results of the encoding algorithm of quantized and non-quantized coefficients are identical. A tile of an image at the resolution j is quantized using the given threshold, threshold(j): for each coefficients x, the quantized value is $\lfloor x/threshold(j) \rfloor$. It is advantageous to choose the parameters threshold(j) to be dyadic such that the quantization can be implemented using integer shifts. The quantization procedure performed on a subband tile is as follows:

First, initialize maxBitPlane(tile) = 0.

Second, iterate over each group of four coefficients $\{coef(2i+x,2j+y,k), x \in [0,1], y \in [0,1]\}$.

For each such group initialize a variable length parameter length(i,j,k) = 0.

15

20

10

Third, quantize each coefficient in the group using the appropriate threshold.

Fourth, and for each coefficient, update length(i,j,k) by the bit plane b of the coefficient, where the bit plane is defined by

$$|coef(2i+x,2j+y,k)| \in [2^b threshold(j),2^{b+1} threshold(j))$$

Fifth, after processing the group of four coefficients, use the final value of length(i,j,k) to update maxBitPlane(tile) by

$$maxBitPlane(tile) = max(maxBitPlane(tile), length(i, j, k))$$

Last, store the value maxBitPlane(tile) in the cache (121).

As for handling subband tiles located at the boundaries. A solution sets to zero subband coefficients that are not associated with the actual image, but only with a padded portion. To do this the amount of padding is taken into account together with

15

20

the parameters maxFilterSizeXY and maxFilterSizeZ. The motivation for this procedure of the removal of these coefficients is coding efficiency.

In step 2304 the coefficients that were quantized in the previous step are variable length encoded and stored in the cache 121. If maxBitPlane(tile) = 0 no data is written, else an iteration is performed over the coefficient $\{coef(2i+x,2j+y,k), x \in [0,1], y \in [0,1]\}$. For each such group the group's variable length length(i, j, k) is first written using $log_2(maxBitPlane(tile))$ bits. Then for each coefficient in the group length(i, j, k) + 1 bits are written representing the coefficient's value. The least significant bit represents the coefficient's sign. For example, if it is 1 then the variable length encoded coefficient is assumed to be negative. The HalfBit subband coefficients are written last using one bit per coefficient.

In step 2305 the low pass coefficients are inserted into the pyramidal frame buffer data structure at the appropriate location. If the size of the subband tile is [tileLength,tileLength,tileHeight], then the size of the low pass sub-tile is [tileLength/2,tileLength/2,tileHeight] for the HHX_Subbands type decomposition and [tileLength,tileLength,tileHeight/2] for XXH_Subbands type, as explained herein above in reference to Fig. 4. Denoting the size of the sub-tile by [sizeX,sizeY,sizeZ], if the coordinates of the tile are (t_x, t_y, t_z, t_resolution), then the coefficients are copied into the frame buffer t_resolution-1 at the virtual location described by the following Eq.13.

As explained herein above, these coefficients will be merged into a bigger tile of low resolution pixels and processed later.

Step 2202 is the decoding of the request stream. This is the inverse step 1703. Once the request stream arrives at the server, it is decoded back to a data block request list. Each data structure defined by Eq.11 and representing a group of requested data blocks is converted to the sub-list of these data blocks.

Reference is now made to Fig. 25 describing step 2203 in detail. This step is only performed whenever the data blocks associated with low resolution subband tile are not available in the server cache 121.

10

15

25

5

Step 2501 is the inverse step of step 2304. In the preprocessing algorithm subband tiles of low resolution, that is resolutions lower than numberOfResolutions – jumpSize, were stored in the cache using a variable length type algorithm. For such a tile the variable length representation is first decoded, and then the algorithm uses the stored value maxBitPlane(tile).

If maxBitPlane(tile) = 0, then all the coefficients are set to zero including the HalfBit subband for lossless case, else and in the lossy case following decoding algorithm if performed. For each group of four coefficients $\left\{coef\left(2\times i+x,2\times j+y,k\right)\right\}_{x,y=0,1}$, $\log_2\left(maxBitPlane(tile)\right)$ bits are read representing the variable length of the group.

Assuming the variable length is length(i,j,k), and for each of the four coefficients length(i,j,k)+1 bits are then read. The least significant bit represents the sign. The reconstructed coefficient takes the value defined by Eq.14

value = threshold ×
$$(readBits >> 1)$$
× $\begin{cases} -1, readBits & 1 = 1 \\ 1, readBits & 1 = 0 \end{cases}$ (Eq.14)

WO 02/48966

10

20

25

30

In the lossless case threshold=1, and a special treatment should be made if maxBitPlane(tile)=1. In this case all the coefficients are set to zero, and the HalfBit subband coefficient are read bit by bit from cache. If maxBitPlane(tile)>1 then the HalfBit subband coefficient are read bit by bit from cache, and then the decoding algorithm is performed as in the lossy case.

In step 2502 the encoding algorithm described in reference to Table 1 encodes the requested data blocks associated with the extracted subband tile.

In case that a *jumpSize* greater than zero is used in step 2201 and the resolution of the ROI is greater than numberOfResolutions - *jumpSize* the following is the calculation. It is a variation on the preprocessing step described in reference to Fig. 23. Whenever the server receives a request list of data blocks its checks the following. If a data block has been previously computed (present in the cache 121), or if it is associated with a low resolution subband tile data block, then it is either read from the cache or handled in step 2203. Else, the coordinates of the data block are used in the same manner as in step 1704 described herein above to find the minimal portion of the ROI that needs to be processed. A local version of the preprocessing algorithm is then performed for this local portion. The difference between this variation and the description in reference to Fig. 23 is that the subband coefficients of ROI are directly encoded by arithmetic encoder described in reference to Table 1 and not by Variable Length encoder as in step 2304 and step 2305 of the preprocessing algorithm.

In the final step, the encoded data tiles are sent to the client, in the order they were requested. In many cases data blocks will be empty. For example, for a region of the original image with a constant pixel value all of the corresponding data blocks will be empty, except for the first one, which will contain only one byte with the value zero representing maxBitPlane(tile) = 0. For a region of the image of low information content only the last data blocks representing higher accuracy will contain any data. Therefore, to avoid unnecessary communications, cubes of empty data blocks are

67

collectively reported to the client using a structure of the type defined by Eq.11 under the restriction that they are reported in the order in which they were requested. For blocks containing actual data we need only report the data block's size in bytes, since the client already knows which data blocks to expect and in which order.

5

10

It will be apparent to those skilled in the art that various modifications and variations can be made in the present invention without departing from the scope or spirit of the invention. Other embodiments of the invention will be apparent to those skilled in the art from consideration of the specification and practice of the invention disclosed herein. It is intended that the specification and examples be considered as exemplary only, with a true scope and spirit of the invention being indicated by the following claims.

WHAT IS CLAIMED IS:

- 1. A system for transmitting a three-dimensional digital image over a communication network, comprising:
 - a) an image storage device for storing a three-dimensional digital image;
 - b) a client computer coupled to the communication network, wherein the client computer generates a request for interaction with the three-dimensional image stored on the image storage device, the request identifying a region of interest within the three-dimensional digital image; and
 - c) a server computer coupled to the communication network and the image storage device, wherein the server computer performs the steps of:
 - i) performing two-dimensional sub-band transform decompositions in x-axis and y-axis directions upon the three-dimensional digital image;
 - ii) performing a one-dimensional sub-band transform decomposition in a z-axis direction upon a portion of the two-dimensionally transform-decomposed digital image; and
 - iii) progressively transmitting to the client computer data representing the identified region of interest within the three-dimensional digital image.
- 2. The system of claim 1, further comprising the step of transmitting to the client computer data representing thumbnail-resolution images resulting from the two-dimensional sub-band transform decompositions.
- 3. The system of claim 1, wherein the step of performing a one-dimensional subband transform decomposition in a z-axis direction comprises:
 - a) computing transform coefficients using integer arithmetic and not floating-point arithmetic; and
 - b) correcting the computed transform coefficients by performing integer arithmetic operations with one or more predetermined correction factors.

- 4. The system of claim 3, wherein the steps of performing sub-band transform decompositions comprise computing transform coefficients for each of a predetermined plurality of resolutions, and the transform coefficients for an Nth resolution of the plurality is computed in response to a one transform formula if N is odd and another transform formula if N is even.
- 5. The system of claim 4, wherein the server computer scales the transform coefficients by alternating factors of $\sqrt{2}$ and 1 along the z-axis.
- 6. A system for transmitting a three-dimensional digital image over a communication network, comprising:
 - a) an image storage device for storing a three-dimensional digital image;
 - b) a client computer coupled to the communication network, wherein the client computer generates a request for interaction with the three-dimensional image stored on the image storage device, the request specifying a quality threshold and including a request list specifying data blocks that define a region of interest within the three-dimensional digital image; and
 - c) a server computer coupled to the communication network and the image storage device, wherein the server computer, in response to the request list, transmits to the client computer a number of data blocks corresponding to the specified quality threshold.
- 7. The system of claim 6, wherein the server computer maps luminance of the three-dimensional image stored on the image storage device from a predetermined image bit depth to a predetermined screen bit depth in accordance with a monotonic luminance mapping function.
- 8. The system of claim 7, wherein:
 - a) the server computer computes a root mean square (RMS) increasing factor defined by a maximal derivative of the luminance mapping function; and

- b) the server computer provides a number of data blocks greater than a predetermined number if the RMS increasing factor is greater than one.
- 9. The system of claim 7, wherein:
 - a) the server computer computes a root mean square (RMS) increasing factor defined by a maximal derivative of the luminance mapping function; and
 b) the server computer provides a number of data blocks less than a predetermined number if the RMS increasing factor is less than one.
- 10. The system of claim 7, wherein:
 - a) the request generated by the client computer further specifies a resolution; and
 - b) the server computer provides a number of data blocks corresponding to the specified quality threshold and resolution.
- 11. A method for transmitting a three-dimensional digital image over a communication network, comprising:
 - a) storing a three-dimensional digital image within an image storage device;
 - a client computer coupled to the communication network generating a request for interaction with the three-dimensional image stored on the image storage device;
 - c) a server computer coupled to the communication network performing two-dimensional sub-band transform decompositions in x-axis and y-axis directions upon the three-dimensional digital image;
 - the server computer performing a one-dimensional sub-band transform decomposition in a z-axis direction upon a portion of the twodimensionally transform-decomposed digital image; and
 - e) the server computer progressively transmitting to the client computer via the communication network data representing the identified region of interest within the three-dimensional digital image.

- 12. The method of claim 11, further comprising the step of transmitting to the client computer data representing thumbnail-resolution images resulting from the two-dimensional sub-band transform decompositions.

71

- 13. The method of claim 11, wherein the step of performing a one-dimensional subband transform decomposition in a z-axis direction comprises the steps of:
 - a) computing transform coefficients using integer arithmetic and not floating-point arithmetic; and
 - b) correcting the computed transform coefficients by performing integer arithmetic operations with one or more predetermined correction factors.
- 14. The method of claim 13, wherein the steps of computing sub-band transform decompositions comprise computing transform coefficients for each of a predetermined plurality of resolutions, and the transform coefficients for an Nth resolution of the plurality is computed in response to a one transform formula if N is odd and another transform formula if N is even.
- 15. The method of claim 14, wherein the server computer scales the transform coefficients by alternating factors of $\sqrt{2}$ and 1 along the z-axis.
- 16. A method for transmitting a three-dimensional digital image from a server computer to a client computer over a communication network, comprising the steps of:
 - a) storing a three-dimensional digital image on an image storage device;
 - b) the client computer generating a request for interaction with the threedimensional image stored on the image storage device, the request specifying a quality threshold and including a request list specifying data blocks that define a region of interest within the three-dimensional digital image; and
 - c) transmitting from the server computer to the client computer, via the communication network, a number of data blocks corresponding to the specified quality threshold.

- 17. The method of claim 16, further comprising the step of the server computer mapping luminance of the three-dimensional image stored on the image storage device from a predetermined image bit depth to a predetermined screen bit depth in accordance with a monotonic luminance mapping function.
- 18. The method of claim 17, further comprising the steps of:
 a) the server computer computing a root mean square (RMS) increasing factor defined by a maximal derivative of the luminance mapping function; and
 b) the server computer providing a number of data blocks greater than a predetermined number if the RMS increasing factor is greater than one.
- 19. The method of claim 17, further comprising the steps of:
 a) the server computer computing a root mean square (RMS) increasing factor defined by a maximal derivative of the luminance mapping function; and
 b) the server computer providing a number of data blocks less than a predetermined number if the RMS increasing factor is less than one.
- 20. The method of claim 17, further comprising the steps of:
 - including in the request generated by the client computer a specified resolution; and
 - b) providing a number of data blocks from the server computer that correspond to the specified quality threshold and resolution.
- 21. A computer program product for communicating a three-dimensional digital image over a communication network, the three-dimensional image stored on an image storage device accessible via the communication network, the computer program product comprising one or more computer data media carrying thereon:
 - a) a client portion effecting a client computer request for interaction with the three-dimensional image stored on the image storage device, the request identifying a region of interest within the three-dimensional digital image; and
 - b) a server portion effecting in a server computer the steps of:

i) performing two-dimensional sub-band transform decompositions in x-

axis and y-axis directions upon the three-dimensional digital image;

- ii) performing a one-dimensional sub-band transform decomposition in a z-axis direction upon a portion of the two-dimensionally transofrm-decomposed digital image; and
- iii) progressively transmitting to the client computer via the communication network data representing the identified region of interest within the three-dimensional digital image.
- 22. The computer program product of claim 21, wherein the server portion further effects in the server computer a step of transmitting to the client computer data representing thumbnail-resolution images resulting from the two-dimensional sub-band transform decompositions.
- 23. The computer program product of claim 21, wherein the step of performing a one-dimensional sub-band transform decomposition in a z-axis direction comprises:
 - a) computing transform coefficients using integer arithmetic and not floating-point arithmetic; and
 - b) correcting the computed transform coefficients by performing integer arithmetic operations with one or more predetermined correction factors.
- 24. The computer program product of claim 23, wherein the steps of performing sub-band transform decompositions comprise computing transform coefficients for each of a predetermined plurality of resolutions, and the transform coefficients for an Nth resolution of the plurality is computed in response to a one transform formula if N is odd and another transform formula if N is even.
- 25. The computer program product of claim 24, wherein the server portion effects in the server computer a step of scaling the transform coefficients by alternating factors of $\sqrt{2}$ and 1 along the z-axis.

- 26. A computer program product for communicating a three-dimensional digital image over a communication network, the three-dimensional image stored on an image storage device accessible via the communication network, the computer program product comprising one or more computer data media carrying thereon:
 - a) a client portion effecting a client computer request for interaction with the three-dimensional image stored on the image storage device, the request specifying a quality threshold and including a request list specifying data blocks that define a region of interest within the three-dimensional digital image; and
 b) a server computer portion effecting in a server computer, in response to the request list, transmission to the client computer of a number of data blocks corresponding to the specified quality threshold.
- 27. The computer program product of claim 26, wherein the server portion effects in the server computer a step of mapping luminance of the three-dimensional image stored on the image storage device from a predetermined image bit depth to a predetermined screen bit depth in accordance with a monotonic luminance mapping function.
- 28. The computer program product of claim 27, wherein:
 - a) the server portion effects in the server computer a step of computing a root mean square (RMS) increasing factor defined by a maximal derivative of the luminance mapping function; and
 - b) the server portion effects in the server computer a step of providing a number of data blocks greater than a predetermined number if the RMS increasing factor is greater than one.
- 29. The computer program product of claim 27, wherein:
 - a) the server portion effects in the server computer a step of computing a root mean square (RMS) increasing factor defined by a maximal derivative of the luminance mapping function; and

- b) the server portion effects in the server computer a step of providing a number of data blocks less than a predetermined number if the RMS increasing factor is less than one.
- 30. The computer program product of claim 27, wherein:
 - a) the client computer request further specifies a resolution; and
 - b) the server portion effects in the server computer a step of providing a number of data blocks corresponding to the specified quality threshold and resolution.

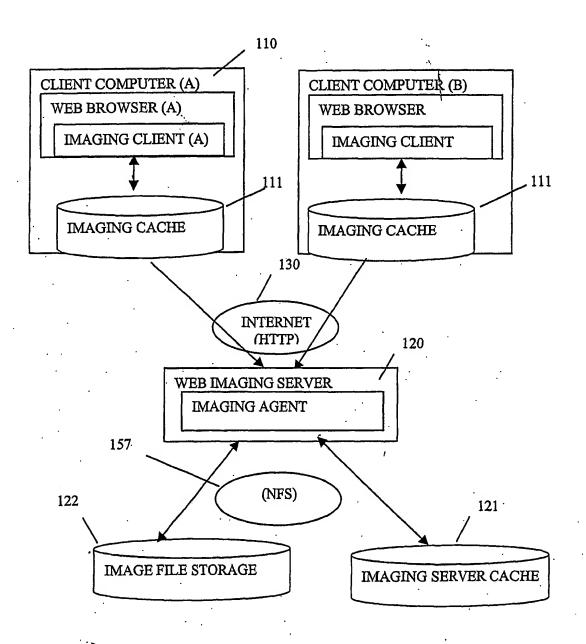


Fig. 1

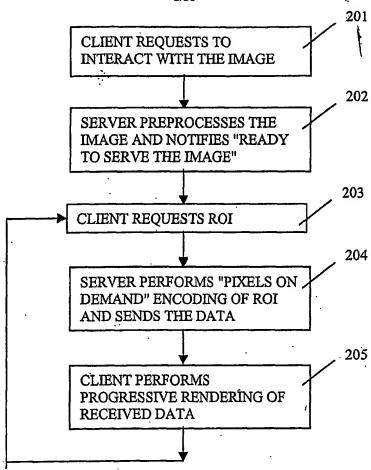


Fig. 2

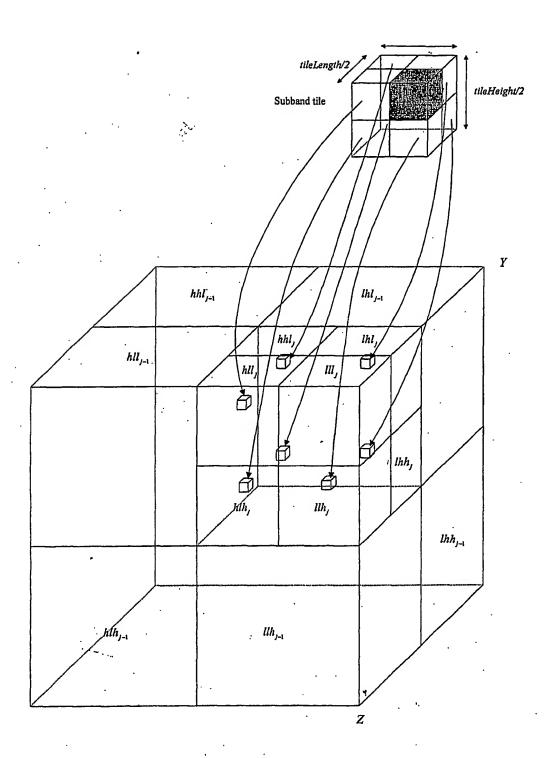


Fig. 3

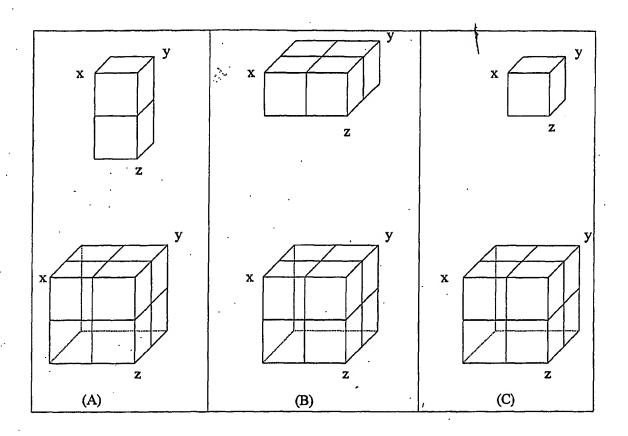


Fig. 4

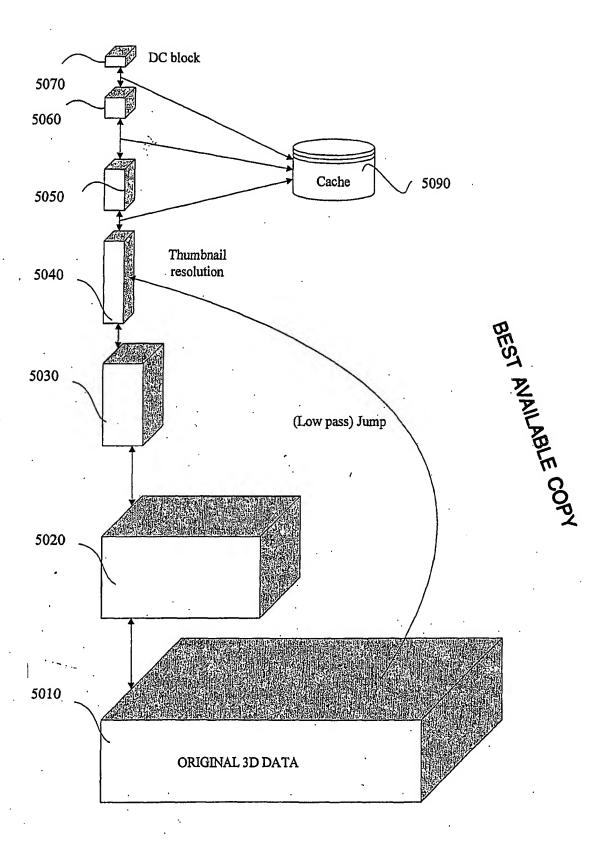


Fig. 5

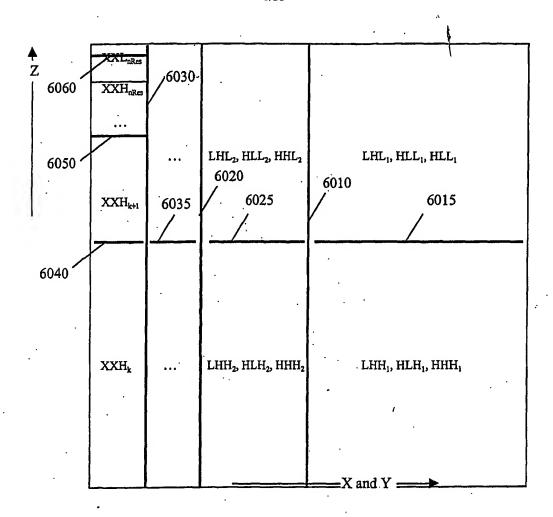


Fig. 6

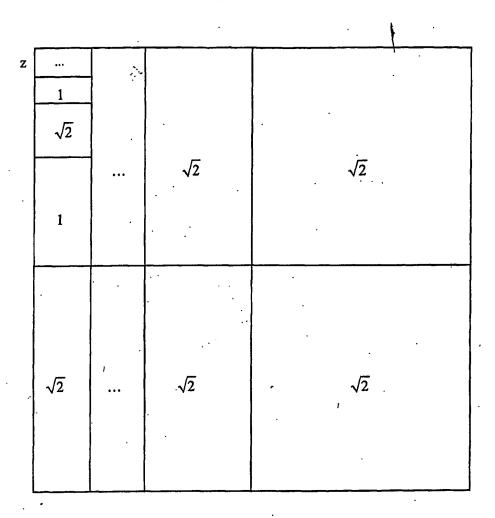


Fig. 7

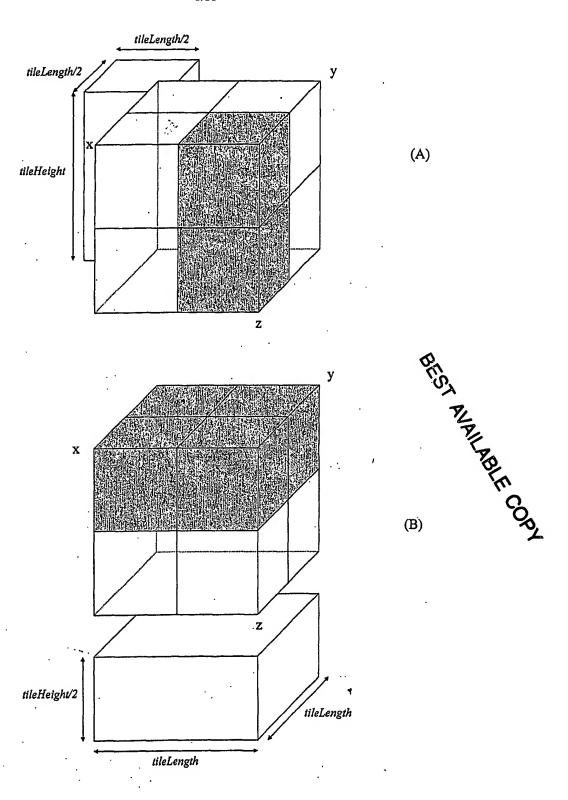
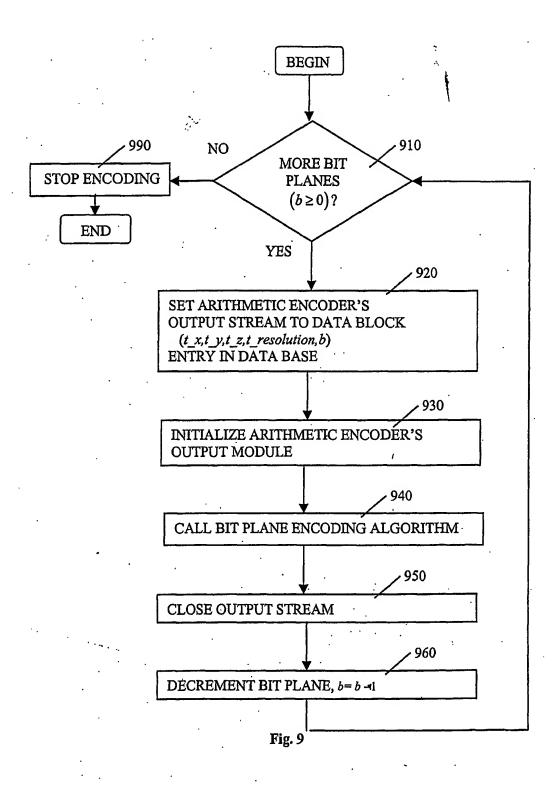


Fig. 8



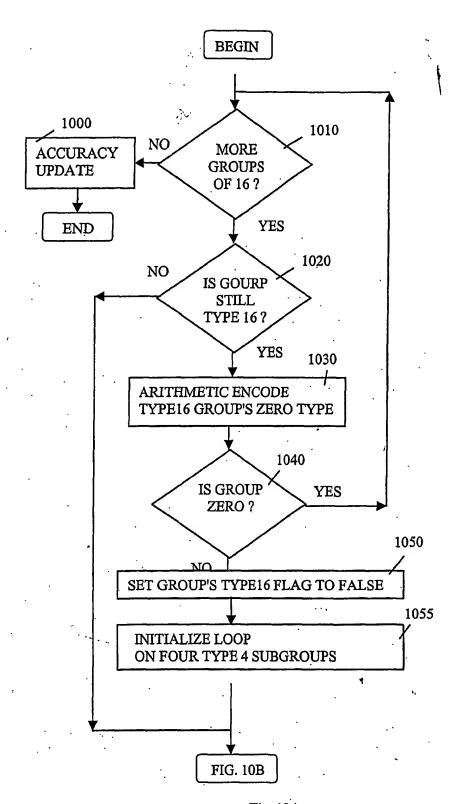


Fig. 10A

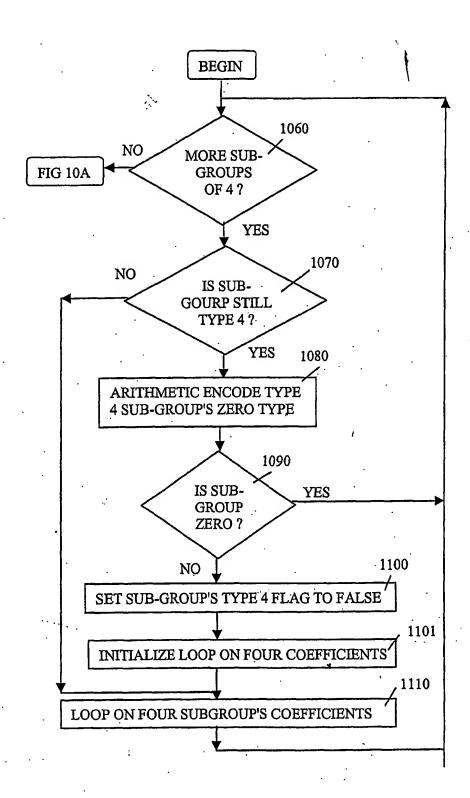


Fig. 10B

```
zeroModel_16.start_model();
zeroModel_4.start_model();
zeroCoefModel.start_model();
coefSignModel.start_model();
while(encoder.getNextGroupOf16()) {
   bool isZero;
   if (encoder.isGroupTypel6()) {
      isZero = encoder.isZeroGroupOf16();
      arithmetic_encode_symbol(ZeroModel_16,isZero);
      if (isZero)
            continue;
   while (encoder.getNextGroupOf4()) {
      if (encoder.isGroupType4()) {
         if (lencoder.mustbeNoZeroGroup()) {
         isZero = encoder.isZeroGroupOf4();
            arithmetic encode_symbol(ZeroModel_4,isZero);
            if (isZero)
                  continue;
      while (encoder.getNext_Type1_Coef(isZero)) {
         if (!encoder.mustbeNoZeroCoef())
            arithmetic_encode_symbol(zeroCoefModel,isZero);
         if (lisZero)
            arithmetic_encode_symbol(coefSignModel,encoder.getCoefSign));
   if (!(encoder.isLastBitPlane() && equalBinSetting)) {
      bitModel.start_model();
      int bit;
```

Fig. 11

```
bitModel.startModel();
zeroCoefModel.startModel();
coefSignModel.startModel();
                                               1210
while (encoder.moreCoef()) {
                                              1220
      if (encoder.isCoefReported()) {
            arithmetic_encode_symbol(
                  bitModel,encoder.reportedCoefPrecisionBit());
    } else {
           if ( encoder.isCoefExactZero() )
                  arithmetic_encode_symbol(zeroCoefModel,true);
           else {
                  arithmetic_encode_symbol(zeroCoefModel,false);
                  arithmetic_encode_symbol(
                        coefSignModel,encoder.getCoefSign());
```

Fig. 12A

```
bitModel.startModel();

for (int z = 0; z != HalfBitPlaneZSize; z++) {
    for (int y = 0; y != HalfBitPlaneYSize; y++) {
        for (int x = 0; x != HalfBitPlaneXSize; x++) {
            arithmetic_encode_symbol(bitModel, coefHalfBit[x][y][z]);
        }
    }
}
```

Fig. 12B

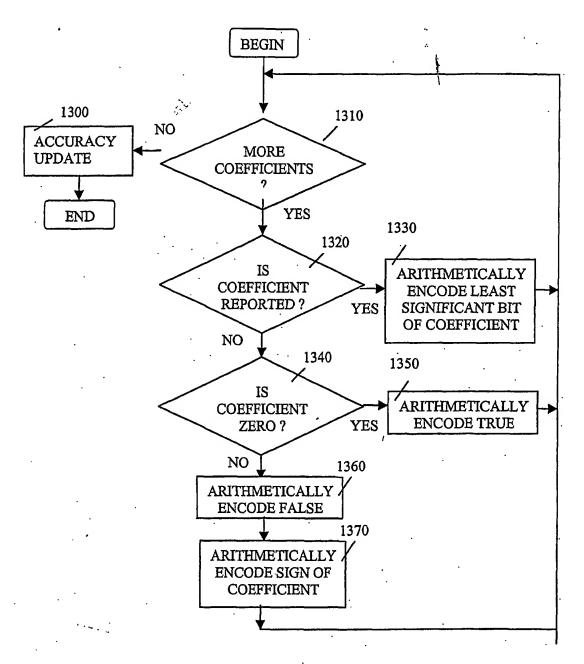


Fig. 13

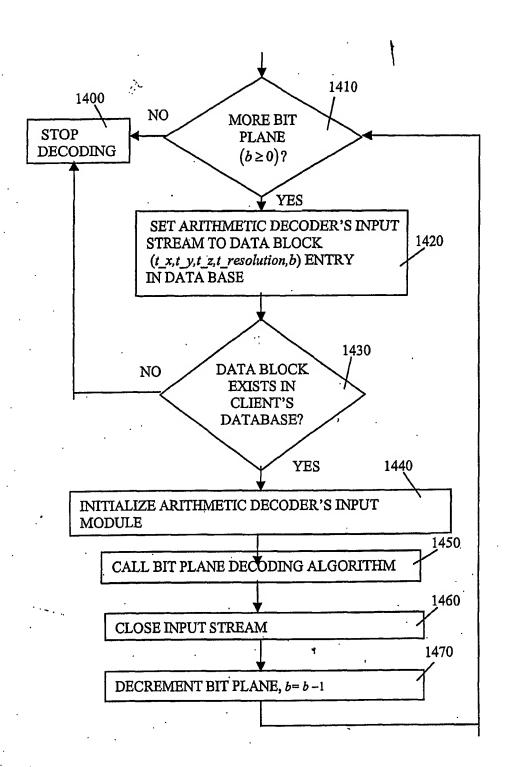


Fig. 14

```
zeroModel_16.start_model();
zeroModel_4.start_model();
zeroCoefModel.start_model();
coefSignModel.start_model();
while(decoder.getNextGroupOf16()) {
   if (decoder.isGroupTypel6()) {
       if (arithmetic_decode_symbol(zeroModel_16)) {
           decoder.zeroGroupOf16();
           continue;
       ėlse
           decoder.removeZeroGroupOf16();
   while (decoder.getNextGroupOf4()) {
     if (decoder.isGroupType4()) {
     if (!decoder.mustbeNotZeroGroup()) {
              if (arithmetic_decode_symbol(zeroModel_4)) {
                  decoder.zeroGroupOf4();
                  continue;
              }
        decoder.removeZeroGroupOf4();
      while (decoder.getNext_Type1_Coef()) {
        if (decoder.mustbeNotZeroCoef())
decoder.setNextSigCoef(arithmetic_decode_symbol(coefSignModel));
        else if (!arithmetic_decode_symbol(zeroCoefModel))
      decoder.setNextSigCoef(arithmetic_decode_symbol(coefSignModel));
if (! (decoder.isLastBitPlane() && equalBinSetting)) {
   bitModel.start_model();
   while (decoder.moreSignificantCoef())
     decoder.setSignificantCoefBit(arithmetic_decode_symbol(bitModel));
```

Fig. 16A

```
bitModel.startModel();

for (int z = 0; z != HalfBitPlaneZSize; z++) {
    for (int y = 0; y != HalfBitPlaneYSize; y++) {
        for (int x = 0; x != HalfBitPlaneXSize; x++) {
            coefHalfBit[x][y][z] = arithmetic_decoder_symbol(bitModel);
        }
    }
}
```

Fig. 16B

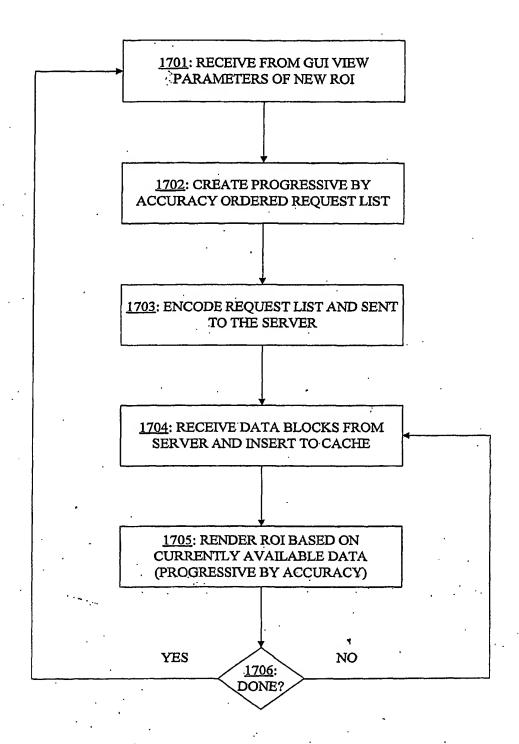
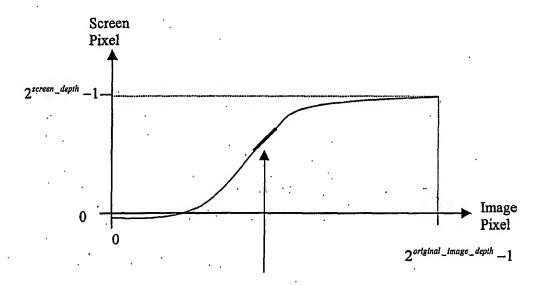


Fig. 17



Maximal gradient

Fig. 18

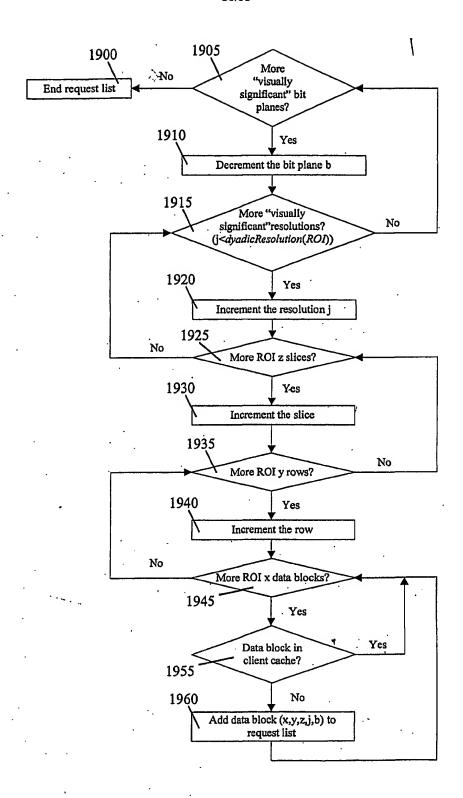


Fig. 19

```
for (int res = 1 ; res <= dyadicResolution(ROI); res++) {</pre>
      for ( int z=0;
             z < NumberOfZtilesOnDyadicResolution (res,ROI);</pre>
            z++ ) {
             GetCoefficientsofLowerResolution(res, Ztile);
            for( int x=0;
                   x < NumberOfXtilesOnDyadicResolution(res, ROI);
                   x++ ) { .
                   for( int y=0;
                         y <
                         NumberOfYtilesOnDyadicResolution(res,ROI);
                         y++ ) {
                         {\tt DecodeOrExtractFromCacheSubbandCoefficients}
                         ( res, x, y, z );
            ExecuteInverseSubbandTransform(z);
            if( res == dyadicResolution(ROI))
                   ImageResizeAndMappingTo8bitScreen();
```

Fig. 20

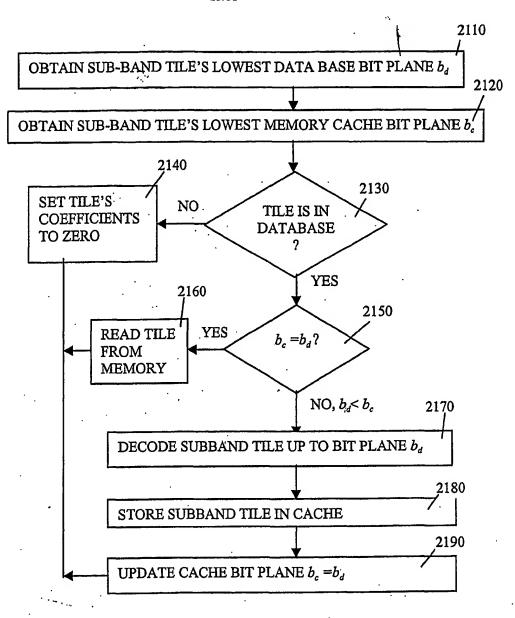


Fig. 21

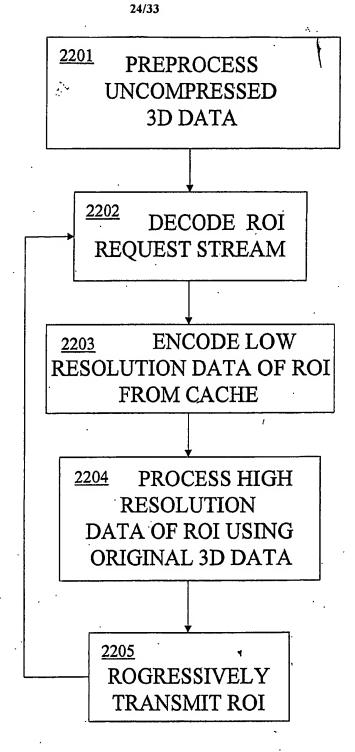


Fig. 22

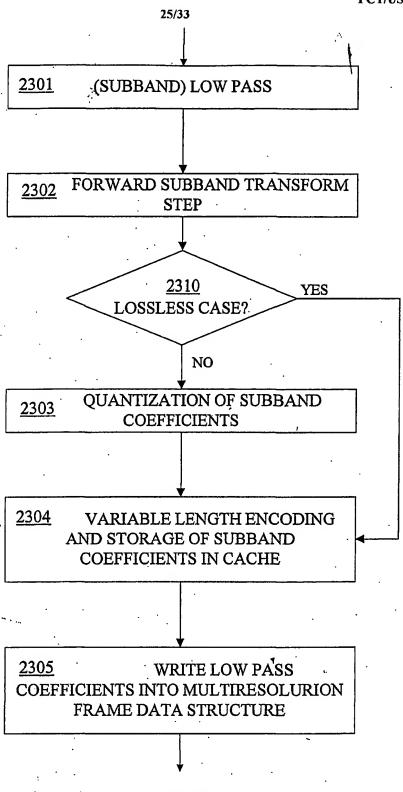


Fig. 23

```
for(int t_Resolution=numberOfResolutions-jumpSize; t_Resolution>=1;
t_Resolution--) {
      leftTilesZInMemoryBuffer(t_Resolution) =
                  NumberOfTilesZInFrameMemoryBuffer(t_Resolution);
      currentTile(t_Resolution)=0;
for(t_Resolution=numberOfResolutions-jumpSize; ;) {
      // calculate the Z and it's resolution
      if (currentTile(t Resolution) < nTileZ(t Resolution)) {</pre>
          for (int t_y = 0; t_y < nTileY(t_Resolution); t_y++)
          for (int t_x = 0; t_x < nTileX(t_Resolution); t_x++)
                preprocessSubbandTile(t_x,t_y,
currentTile(t_Resolution), t_Resolution);
      // update the indeces
      leftTilesZInMemoryBuffer(t_Resolution)--;
      currentTile(t_Resolution)++;
      if(currentTile(t_Resolution) < nTileZ(t_Resolution)) {</pre>
            // switch the resolution
            if(leftTilesZInMemoryBuffer(t_Resolution) == 0) {
                  leftTilesZInMemoryBuffer(t_Resolution) =
                        NumberOfTilesZInFrameMemoryBuffer(t_Resolution
                  t Resolution --;
            else
                  t Resolution = numberOfResolutions-jumpSize;
      else {
            t_Resolution --;
            JEIL Banglostian
```

Fig. 24

2501: VARIABLE LENGTH DECODING FROM CACHE OF SUBBAND TILE

2502: ENCODE ROI DATA BLOCKS OF SUBBAND TILE

Fig. 25

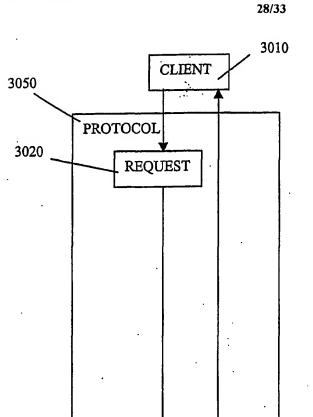


Fig. 26

-2030

3040

DATA

SERVER

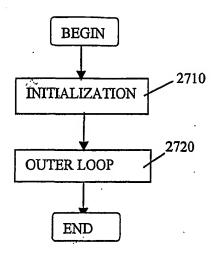


Fig. 27

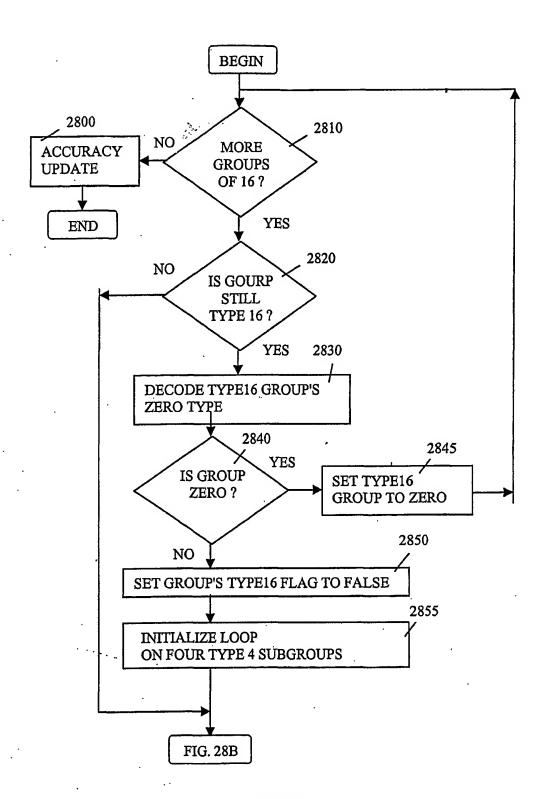


Fig. 28A

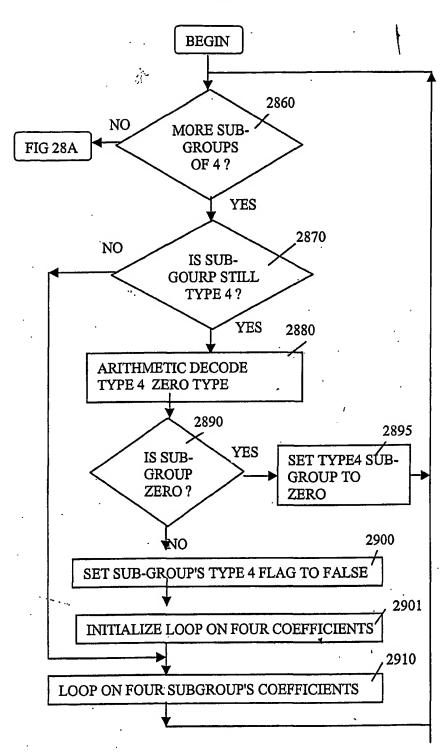


Fig. 28B

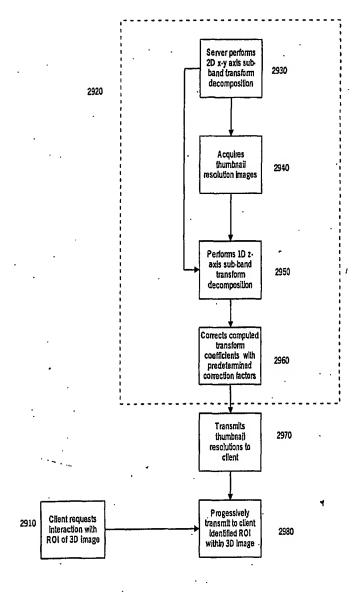


Fig. 29

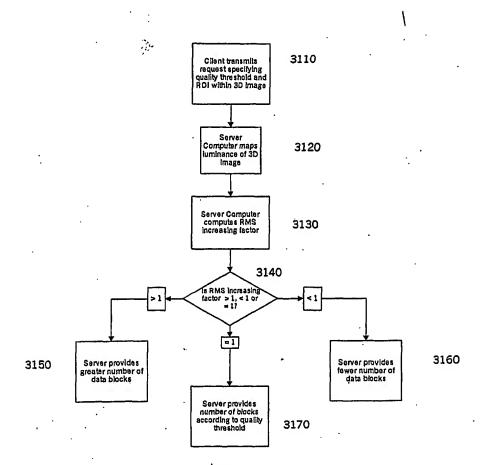


Fig. 30

INTERNATIONAL SEARCH REPORT

International application No. PCT/US01/48933

A. CLASSIFICATION OF SUBJECT MATTER IPC(7) :GO6T 15/00					
US CL : 345/419					
	ternational Patent Classification (IPC) or to both	national classification and IPC			
	SEARCHED	by alassification symbols)			
Minimum documentation searched (classification system followed by classification symbols)					
U.S. : 345	6/ 4 19				
Documentation searched	searched other than minimum documentation to	the extent that such documents are in	ncluded in the fields		
Electronic data WEST, ACM	base consulted during the international search (na	me of data base and, where practicable	, search terms used)		
C. DOCUMENTS CONSIDERED TO BE RELEVANT					
Category*	Citation of document, with indication, where app	ropriate, of the relevant passages	Relevant to claim No.		
A U	IS 6,088,035 A (SUDARSKY et al.) 1	1 July 2000, All	1-30		
A U	IS 5,710,835 A (BRADLEY) 20 Janua	1-30			
A T	US 6,009,435 A (TAUBIN et al.) 28	1-30			
Α τ	US 5,850,226 A (NAGASAWA et al.) 15 December 1998, All				
A U	IS 4,894,713 A (DELOGNE et al.) 16	5 January 1990, All	1-30		
T	TO, S.P.D. et al. A Method for ransmission of Multi-Resolution Moirtual reality software and technology 5.	1-30			
X Further documents are listed in the continuation of Box C. See patent family annex.					
• Special categories of cited documents: "A" document defining the general state of the art which is not		"T" later document published after the int date and not in conflict with the app the principle or theory underlying the	lication but cited to understand		
"E" carlier document published on or after the international filing date		document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone			
"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)		"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination			
means docum	ent published prior to the international filing date but later	being obvious to a person skilled in the art document member of the same patent family			
than th	tual completion of the international search	Date of mailing of the international so	earch report		
18 MARCH 2002		16 APR 2002			
Name and mailing address of the ISA/US Commissioner of Patents and Trademarks		Authorized officer			
Box PCT Washington, I		ENRIQUE L. SANTIAGO			
Faccimile No. (709) 805-9880		Telephone No. (703) 305-9/19 11			

INTERNATIONAL SEARCH REPORT

International application No.
PCT/US01/48933

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No
Ą	MERZ, M. et al. Iterative Transmisssion of Media Streams ACM international conference on multimedia. November 1997. pages 283-290.	1-30
A	SALOUS, M.N. Managing Bandwidth Utilisation For Image Transmission. IEEE International Conference on Systems, man, and Cybernetics. 1998. Vol 5. pages 4636-4641	1-30
	·	•
	·	